



# The complexity of tropical graph homomorphisms

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## ABSTRACT

A tropical graph  $(H, c)$  consists of a graph  $H$  and a (not necessarily proper) vertex-colouring  $c$  of  $H$ . Given two tropical graphs  $(G, c_1)$  and  $(H, c)$ , a homomorphism of  $(G, c_1)$  to  $(H, c)$  is a standard graph homomorphism of  $G$  to  $H$  that also preserves the vertex-colours. We initiate the study of the computational complexity of tropical graph homomorphism problems. We consider two settings. First, when the tropical graph  $(H, c)$  is fixed; this is a problem called  $(H, c)$ -COLOURING. Second, when the colouring of  $H$  is part of the input; the associated decision problem is called  $H$ -TROPICAL-COLOURING. Each  $(H, c)$ -COLOURING problem is a constraint satisfaction problem (CSP), and we show that a complexity dichotomy for the class of  $(H, c)$ -COLOURING problems holds if and only if the Feder–Vardi Dichotomy Conjecture for CSPs is true. This implies that  $(H, c)$ -COLOURING problems form a rich class of decision problems. On the other hand, we were successful in classifying the complexity of at least certain classes of  $H$ -TROPICAL-COLOURING problems.

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## 1. Introduction

Unless stated otherwise, the graphs considered in this paper are simple, loopless and finite. A *homomorphism*  $h$  of a graph  $G$  to a graph  $H$  is a mapping  $h : V(G) \rightarrow V(H)$  such that adjacency is preserved by  $h$ , that is, the images of two adjacent vertices of  $G$  must be adjacent in  $H$ . If such a mapping exists, we note  $G \rightarrow H$ . For a fixed graph  $H$ , given an input graph  $G$ , the decision problem  $H$ -COLOURING (whose name is derived from the proximity of the problem to proper vertex-colouring) consists of determining whether  $G \rightarrow H$  holds. Problems of the form  $H$ -COLOURING for some fixed graph  $H$ , are called *homomorphism problems*. A classic theorem of Hell and Nešetřil [22] states a *dichotomy* for this problem: if  $H$  is bipartite,  $H$ -COLOURING is polynomial-time solvable; otherwise, it is NP-complete.

**Tropical graphs.** As an extension of graph homomorphisms, homomorphisms of edge-coloured graphs have been studied, see for example [1,6–9]. In this paper, we consider the variant where the *vertices* are coloured. We initiate the study of *tropical graph homomorphism problems*, in which the vertex sets of the graphs are partitioned into colour classes. Formally, a *tropical graph*  $(G, c)$  is a graph  $G$  together with a (not necessarily proper) vertex-colouring  $c : V(G) \rightarrow C$  of  $G$ , where  $C$  is a set of colours. If  $|C| = k$ , we say that  $(G, c)$  is a *k-tropical graph*. Given two tropical graphs  $(G, c_1)$  and  $(H, c_2)$  (where the colour set of  $c_1$  is a subset of the colour set of  $c_2$ ), a homomorphism  $h$  of  $(G, c_1)$  to  $(H, c_2)$  is a homomorphism of  $G$  to

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$H$  that also preserves the colours, that is, for each vertex  $v$  of  $G$ ,  $c_1(v) = c_2(h(v))$ . For a fixed tropical graph  $(H, c)$ , problem  $(H, c)$ -COLOURING asks whether, given an input tropical graph  $(G, c_1)$ , we have  $(G, c_1) \rightarrow (H, c)$ .

**The homomorphism factoring problem.** Brewster and MacGillivray defined the following related problem in [10]. For two fixed graphs  $H$  and  $Y$  and a homomorphism  $h$  of  $H$  to  $Y$ , the  $(H, h, Y)$ -FACTORING problem takes as an input, a graph  $G$  together with a homomorphism  $g$  of  $G$  to  $Y$ , and asks for the existence of a homomorphism  $f$  of  $G$  to  $H$  such that  $f = h \circ g$ . The  $(H, c)$ -COLOURING problem corresponds to  $(H, c, K_{|C|}^+)$ -FACTORING where  $K_{|C|}^+$  is the complete graph on  $|C|$  vertices with all loops (and with  $C$  the set of colours used by  $c$ ). (Note that in [10], loops were not considered.)

**Constraint satisfaction problems (CSPs).** Graph homomorphism problems fall into a more general class of decision problems, the *constraint satisfaction problems*, defined for *relational structures*. A relational structure  $S$  over a *vocabulary* (a vocabulary is a set of pairs  $(R_i, a_i)$  of relation names and arities) consists of a *domain*  $V(S)$  of vertices together with a set of relations corresponding to the vocabulary, that is,  $R_i \subseteq V(S)^{a_i}$  for each relation  $R_i$  of the vocabulary. Given two relational structures  $S$  and  $T$  over the same vocabulary, a homomorphism of  $S$  to  $T$  is a mapping  $h : V(S) \rightarrow V(T)$  such that each relation  $R_i$  is preserved, that is, for each subset of  $V(S)^{a_i}$  of  $R_i$  in  $S$ , its image set in  $T$  also belongs to  $R_i$ . For a fixed relational structure  $T$ ,  $T$ -CSP is the decision problem asking whether a given input relational structure has a homomorphism to  $T$ .

Using this terminology, a graph  $H$  is a relational structure over the vocabulary  $\{(A, 2)\}$  consisting of a single binary relation  $A$  (adjacency). Hence,  $H$ -COLOURING is a CSP. Further,  $(H, c)$ -COLOURING is equivalent to the problem  $C(H, c)$ -CSP, where  $C(H, c)$  is obtained from  $H$  by adding a set of  $k$  unary relations to  $H$  (one for each colour class of the  $k$ -colouring  $c$ ).

**The Dichotomy Conjecture.** In their celebrated paper [20], Feder and Vardi posed the following conjecture.

**Conjecture 1.1** (Feder and Vardi [20]). *For every fixed relational structure  $T$ ,  $T$ -CSP is polynomial-time solvable or NP-complete.*

Conjecture 1.1 became known as the *Dichotomy Conjecture* and has given rise to extensive work in this area, see for example [11, 12, 15–18]. If the conjecture holds, it would imply a fundamental distinction between CSP and the whole class NP. Indeed, the latter is known (unless  $P=NP$ ) to contain so-called *NP-intermediate* problems that are neither NP-complete nor polynomial-time solvable [27].

The Dichotomy Conjecture was motivated by several earlier dichotomy theorems for special cases, such as the one of Schaefer for binary structures [29] or the one of Hell and Nešetřil for undirected graphs, stated as follows.

**Theorem 1.2** (Hell and Nešetřil Dichotomy [22]). *Let  $H$  be an undirected graph. If  $H$  is bipartite, then  $H$ -COLOURING is polynomial-time solvable. Otherwise,  $H$ -COLOURING is NP-complete.*

**Digraph homomorphisms.** Digraph homomorphisms are also well-studied in the context of complexity dichotomies. We will relate them to tropical graph homomorphisms. For a digraph  $D$ ,  $D$ -COLOURING asks whether an input digraph admits a homomorphism to  $D$ , that is, a homomorphism of the underlying undirected graphs that also preserves the orientation of the arcs.

While in the case of undirected graphs, the  $H$ -COLOURING problem is only polynomial time for graphs whose core is either  $K_1$  or  $K_2$ , in the case of digraphs the problem remains polynomial time for a large class of digraphs which are cores. The classification of such cores has been one of the difficulties of the conjecture. Such classifications are given for certain interesting subclasses, see for example [2–5, 14]. A proof of a conjecture in classification of general case has been announced while this paper was under review (see [19]). This would complete a proof of the Dichotomy Conjecture as Feder and Vardi [20] showed the following (seemingly weaker) statement to be equivalent to the Dichotomy Conjecture.

**Conjecture 1.3** (Equivalent Form of the Dichotomy Conjecture, Feder and Vardi [20]). *For every bipartite digraph  $D$ ,  $D$ -COLOURING is polynomial-time solvable or NP-complete.*

In Section 3, similarly to its above reformulation (Conjecture 1.3), we will show that the Dichotomy Conjecture has an equivalent formulation as a dichotomy for tropical homomorphisms problems. More precisely, we will show that the Dichotomy Conjecture is true if and only if its restriction to  $(H, c)$ -COLOURING problems, where  $(H, c)$  is a 2-tropical bipartite graph, also holds. In other words, one can say that the class of 2-tropical bipartite graph homomorphisms is as rich as the whole class of CSPs.

For many digraphs  $D$  it is known such that  $D$ -COLOURING is NP-complete. Such a digraph of order 4 and size 5 is presented in the book by Hell and Nešetřil [23, page 151]. Such oriented trees are also known, see [24] or [23, page 158]; the smallest such known tree has order 45. A full dichotomy is known for oriented cycles [14]; the smallest such NP-complete oriented cycle has order between 24 and 36 [13, 14]. Using these results, one can easily exhibit some NP-complete  $(H, c)$ -COLOURING problems. To this end, given a digraph  $D$ , we construct the 3-tropical graph  $T(D)$  as follows. Start with the set of vertices  $V(D)$  and colour its vertices Blue. For each arc  $\vec{uv}$  in  $D$ , add a path  $ux_u x_v v$  of length 3 from  $u$  to  $v$  in  $T(D)$ , where  $x_u$  and  $x_v$  are two new vertices coloured Red and Green, respectively. The following fact is not difficult to observe.

**Proposition 1.4.** *For any two digraphs  $D_1$  and  $D_2$ , we have  $D_1 \rightarrow D_2$  if and only if  $T(D_1) \rightarrow T(D_2)$ .*

By the above results on NP-complete  $D$ -COLOURING problems and [Proposition 1.4](#), we obtain a 3-tropical graph of order 14, a 3-tropical tree of order 133, and a 3-tropical cycle of order between 72 and 108 whose associated homomorphism problems are NP-complete. Nevertheless, in this paper, we exhibit (by using other reduction techniques) much smaller tropical graphs, trees and cycles  $(H, c)$  with  $(H, c)$ -COLOURING NP-complete.

**List homomorphisms.** Dichotomy theorems have also been obtained for a list-based extension of the class of homomorphism problems, the *list-homomorphism problems*. In this setting, introduced by Feder and Hell in [\[15\]](#), the input consists of a pair  $(G, L)$ , where  $G$  is a graph and  $L : V(G) \rightarrow 2^{V(H)}$  is a list assignment representing a set of allowed images for each vertex of  $G$ . For a fixed graph  $H$ , the decision problem  $H$ -LIST-COLOURING asks whether there is a homomorphism  $h$  of  $G$  to  $H$  such that for each vertex  $v$  of  $G$ ,  $h(v) \in L(v)$ . Problem  $H$ -LIST-COLOURING can be seen as a generalization of  $H$ -COLOURING. Indeed, restricting  $H$ -LIST-COLOURING to the class of inputs where for each vertex  $v$  of  $G$ ,  $L(v) = V(H)$ , corresponds precisely to  $H$ -COLOURING. Therefore, if  $H$ -COLOURING is NP-complete, so is  $H$ -LIST-COLOURING. For this set of problems, a full complexity dichotomy has been established in a series of three papers [\[15,17,18\]](#). We state the dichotomy result for simple graphs from [\[17\]](#), that is related to our work. (A circular arc graph is an intersection graph of arcs on a cycle.)

**Theorem 1.5** (Feder, Hell and Huang [\[17\]](#)). *If  $H$  is a bipartite graph such that its complement is a circular arc graph, then  $H$ -LIST-COLOURING is polynomial-time solvable. Otherwise,  $H$ -LIST-COLOURING is NP-complete.*

Given a tropical graph  $(H, c)$ , the problem  $(H, c)$ -COLOURING is equivalent to the restriction of  $H$ -LIST-COLOURING to instances  $(G, L)$  where each list is the set of vertices in one of the colour classes of  $c$ . Next, we introduce a less restricted variant of  $H$ -LIST-COLOURING that is also based on tropical graph homomorphisms.

**The  $H$ -TROPICAL-COLOURING problem.** Given a fixed graph  $H$ , we introduce the decision problem  $H$ -TROPICAL-COLOURING, whose instances consist of (1) a vertex-colouring  $c$  of  $H$  and (2) a tropical graph  $(G, c_2)$ . Then,  $H$ -TROPICAL-COLOURING consists of deciding whether  $(G, c_1) \rightarrow (H, c)$ .

Alternatively,  $H$ -TROPICAL-COLOURING is an instance restriction of  $H$ -LIST-COLOURING to instances with *laminar lists*, that is, lists such that for each pair of distinct vertices  $v_1, v_2 \in V(G)$ ,  $L(v_1) = L(v_2)$  or  $L(v_1) \cap L(v_2) = \emptyset$ . (We remark that  $H$ -TROPICAL-COLOURING, as well as  $H$ -LIST-COLOURING, can also be formulated as a CSP, where certain unary relations encode the list constraints: so-called *full CSPs*, see [\[16\]](#) for details.)

Given the difficulty of studying  $(H, c)$ -COLOURING problems, as will be demonstrated in [Section 3](#), the study of  $H$ -TROPICAL-COLOURING problems will be the focus of the other parts of this paper. This study is directed by the following question.

**Question 1.6.** *For a given graph  $H$ , what is the complexity of  $H$ -TROPICAL-COLOURING?*

Clearly,  $(H, c)$ -COLOURING where each vertex receives the same colour, is computationally equivalent to  $H$ -COLOURING. Therefore, by the Hell-Nešetřil dichotomy of [Theorem 1.2](#), if  $H$  is non-bipartite,  $H$ -TROPICAL-COLOURING is NP-complete. Furthermore, by the above formulation of  $H$ -TROPICAL-COLOURING as an instance restriction of  $H$ -LIST-COLOURING, whenever  $H$ -LIST-COLOURING is polynomial-time solvable, so is  $H$ -TROPICAL-COLOURING.

Thus, according to [Theorems 1.2](#) and [1.5](#), all problems  $H$ -TROPICAL-COLOURING where  $H$  is not bipartite are NP-complete, and all problems  $H$ -TROPICAL-COLOURING where  $H$  is bipartite and its complement is a circular-arc graph are polynomial-time solvable. Thus, it remains to study  $H$ -TROPICAL-COLOURING when  $H$  belongs to the class of bipartite graphs whose complement is not a circular-arc graph. This class of graphs has been well-studied, and characterized by forbidden induced subgraphs [\[30\]](#). It is a rich class of graphs that includes all cycles of length at least 6, all trees with at least one vertex from which there are three branches of length at least 3, and many other graphs [\[30\]](#).

Observe that for any induced subgraph  $H'$  of a graph  $H$ , one can reduce  $H'$ -TROPICAL-COLOURING to  $H$ -TROPICAL-COLOURING by assigning, in the input colouring of  $H$ , a dummy colour to all the vertices of  $H - H'$ . Hence, if  $H$ -TROPICAL-COLOURING is polynomial-time solvable, then  $H'$ -TROPICAL-COLOURING is also polynomial-time solvable. Conversely, if  $H'$ -TROPICAL-COLOURING is NP-complete, so is  $H$ -TROPICAL-COLOURING. Therefore, to answer [Question 1.6](#), it is enough to consider minimal graphs  $H$  such that  $H$ -TROPICAL-COLOURING is NP-complete.

A first question is to study the case of minimal graphs  $H$  for which  $H$ -LIST-COLOURING is NP-complete; such a list is known and it follows from [Theorem 1.5](#). In particular, it contains all even cycles of length at least 6. In [Section 4](#), we show that for every even cycle  $C_{2k}$  of length at least 48,  $C_{2k}$ -TROPICAL-COLOURING is NP-complete. On the other hand, for every even cycle  $C_{2k}$  of length at most 12,  $C_{2k}$ -TROPICAL-COLOURING is polynomial-time solvable. Unfortunately, for each graph  $H$  in the above-mentioned list that is not a cycle,  $H$ -TROPICAL-COLOURING is polynomial-time solvable, and thus larger graphs will be needed in the quest of a similar characterization of NP-complete  $H$ -TROPICAL-COLOURING problems.

In [Section 5](#), we show that for every bipartite graph  $H$  of order at most 8,  $H$ -TROPICAL-COLOURING is polynomial-time solvable, but there is a bipartite graph  $H_9$  of order 9 such that  $H_9$ -TROPICAL-COLOURING is NP-complete.

Finally, in [Section 6](#), we study the case of trees. We prove that for every tree  $T$  of order at most 11,  $T$ -TROPICAL-COLOURING is polynomial-time solvable, but there is a tree  $T_{23}$  of order 23 such that  $T_{23}$ -TROPICAL-COLOURING is NP-complete.

We remark that our NP-completeness results are finer than those that can be obtained from [Proposition 1.4](#), in the sense that the orders of the obtained target graphs are much smaller. Similarly, we note that the results in [\[10\]](#) imply the existence of NP-complete  $H$ -TROPICAL-COLOURING problems, and  $H$  can be chosen to be a tree or a cycle. However, similarly as in [Proposition 1.4](#), these results are also based on reductions from NP-complete  $D$ -COLOURING problems, where  $H$  is obtained

from the digraph  $D$  by replacing each arc by a path (its length depends on  $D$ , but it is always at least 3). Thus, the NP-complete tropical targets obtained in [10] are trees of order at least 133 and cycles of order at least 72, which is much more than the ones exhibited in the present paper.

To improve the presentation, some results in this paper are given without proof. The full paper, containing all proofs, is available online [21].

## 2. Preliminaries and tools

In this section we gather some necessary preliminary definitions and results.

### 2.1. Isomorphisms, cores

For tropical graph homomorphisms, we have the same basic notions and properties as in the theory of graph homomorphisms. A homomorphism of tropical graph  $(G, c_1)$  to  $(H, c_2)$  is an *isomorphism* if it is a bijection and it acts bijectively on the set of edges.

**Definition 2.1.** The *core* of a tropical graph  $(G, c)$  is the smallest (in terms of the order) induced tropical subgraph  $(G', c_{|G'})$  admitting a homomorphism of  $(G, c)$  to  $(G', c_{|G'})$ .

In the same way as for simple graphs, it can be proved that the core of a tropical graph is unique. A tropical graph  $(G, c)$  is called a *core* if its core is isomorphic to  $(G, c)$  itself. Moreover, we can restrict ourselves to studying only cores. Indeed it is not difficult to check that  $(G, c_1)$  admits a homomorphism to  $(H, c_2)$  if and only if the core of  $(G, c_1)$  admits a homomorphism to the core of  $(H, c_2)$ .

### 2.2. Formal definitions of the used computational problems

We now formally define all the decision problems used in this paper.

#### $H$ -COLOURING

**Input:** A (di)graph  $G$ .

**Question:** Does there exist a homomorphism of  $G$  to  $H$ ?

#### $H$ -LIST-COLOURING

**Input:** A graph  $G$  and a list function  $L : V(G) \rightarrow 2^{V(H)}$ .

**Question:** Is there a homomorphism  $f$  of  $G$  to  $H$  such that for every vertex  $x$  of  $G$ ,  $f(x) \in L(x)$ ?

#### $(H, c)$ -COLOURING

**Input:** A tropical graph  $(G, c_1)$ .

**Question:** Does  $(G, c_1)$  admit a homomorphism to  $(H, c)$ ?

#### $H$ -TROPICAL-COLOURING

**Input:** A vertex-colouring  $c$  of  $H$ , and a tropical graph  $(G, c_1)$ .

**Question:** Does  $(G, c_1)$  admit a homomorphism to  $(H, c)$ ?

#### $T$ -CSP

**Input:** A relational structure  $S$  over the same vocabulary as  $T$ .

**Question:** Does  $S$  admit a homomorphism to  $T$ ?

#### $k$ -SAT

**Input:** A pair  $(X, C)$  where  $X$  is a set of Boolean variables and  $C$  is a set of  $k$ -tuples of literals of  $X$ , that is, variables of  $X$  or their negation.

**Question:** Is there a truth assignment  $A : X \rightarrow \{0, 1\}$  such that each clause of  $C$  contains at least one true literal?

### NAE $k$ -SAT

**Input:** A pair  $(X, C)$  where  $X$  is a set variables and  $C$  is a set of  $k$ -tuples of variables of  $X$ .

**Question:** Is there a partition of  $X$  into two classes such that each clause of  $C$  contains at least one variable in each class?

It is a folklore result that 2-SAT is polynomial-time solvable, a fact for example observed in [26]. On the other hand, 3-SAT is NP-complete [25], and NAE 3-SAT is NP-complete as well [28] (even if the input formula contains no negated variables).

### 2.3. Bipartite graphs

We now give several facts that are useful when working with homomorphisms of bipartite graphs.

**Observation 2.2.** Let  $H$  be a bipartite graph with parts  $A, B$ . If  $\phi : G \rightarrow H$  is a homomorphism of  $G$  to  $H$ , then  $G$  must be bipartite. Moreover, if  $G$  and  $H$  are connected, then  $\phi^{-1}(A)$  and  $\phi^{-1}(B)$  are the two parts of  $G$ .

The next proposition shows that for bipartite target graphs, we may assume (at the cost of doubling the number of colours) that no two vertices from two different parts of the bipartition are coloured with the same colour.

**Proposition 2.3.** Let  $(H, c)$  be a connected tropical bipartite graph with parts  $A, B$ , and assume that vertices in  $A$  and  $B$  are coloured by  $c$  with colours in set  $C_A$  and  $C_B$ , respectively. Let  $c'$  be the colouring with colour set  $(C_A \times 0) \cup (C_B \times 1)$  obtained from  $c$  with  $c'(x) = (c(x), 0)$  if  $x \in A$  and  $c'(x) = (c(x), 1)$  if  $x \in B$ . If  $(H, c')$ -COLOURING is polynomial-time solvable, then  $(H, c)$ -COLOURING is polynomial-time solvable.

**Proof.** Let  $(G, c_1)$  be a bipartite tropical graph. We may assume  $G$  is connected since the complexity of  $(H, c)$ -COLOURING and  $(H, c')$ -COLOURING stays the same for connected inputs. Let  $c'_1$  and  $c''_1$  be the colourings obtained from  $c_1$  by performing a similar modification as for  $c'$ :  $c'_1(x) = (c_1(x), 0)$  if  $x \in A$  and  $c'_1(x) = (c_1(x), 1)$  if  $x \in B$ , and  $c''_1(x) = (c_1(x), 1)$  if  $x \in A$  and  $c''_1(x) = (c_1(x), 0)$  if  $x \in B$ . Now it is clear, by **Observation 2.2**, that  $(G, c_1) \rightarrow (H, c)$  if and only if either  $(G, c'_1) \rightarrow (H, c')$  or  $(G, c''_1) \rightarrow (H, c')$ . Since the latter condition can be checked in polynomial time, the proof is complete.  $\square$

### 2.4. Generic lemmas for polynomiality

We now prove several generic lemmas that will be useful to prove that a specific  $(H, c)$ -COLOURING problem is polynomial-time solvable.

**Definition 2.4.** Let  $(H, c)$  be a tropical graph. A vertex of  $(H, c)$  is a *forcing vertex* if all its neighbours are coloured with distinct colours.

This is a useful concept since in any mapping of a tropical graph  $(G, c')$  to a target containing a forcing vertex  $x$ , if a vertex of  $G$  is mapped to  $x$ , then the mapping of all its neighbours is forced. We have the following immediate application:

**Lemma 2.5.** Let  $(H, c)$  be a tropical graph. If all vertices of  $H$  are forcing vertices, then  $(H, c)$ -COLOURING is polynomial-time solvable.

**Proof.** Choose any vertex  $x$  of the instance  $(G, c_1)$ , and map it to any vertex of  $(H, c)$  with the same colour. Once this choice is made, the mapping for the whole connected component of  $x$  is forced. Hence, try all  $O(|V(H)|)$  possibilities to map  $x$ , and repeat this for every connected component of  $G$ . The tropical graph  $(G, c_1)$  is a YES-instance if and only if every connected component admits a mapping.  $\square$

**Lemma 2.6 (2-SAT).** Let  $(H, c)$  be a tropical graph and let  $\{S_1, \dots, S_k\}$  be a collection of independent sets of  $H$ , each of size at most 2. Assume that for every tropical graph  $(G, c_1)$  admitting a homomorphism to  $(H, c)$ , there exists a partition  $\mathcal{P} = P_1, \dots, P_\ell$  of  $V(G)$  into  $\ell \leq k$  sets and a homomorphism  $f : (G, c_1) \rightarrow (H, c)$  such that for every  $i \in \{1, \dots, \ell\}$ , there is a  $j = j(i) \in \{1, \dots, k\}$  such that all vertices of  $P_i$  map to vertices of  $S_j$ . Then  $(H, c)$ -COLOURING is polynomial-time solvable.

**Proof.** We reduce  $(H, c)$ -COLOURING to 2-SAT. For every set  $S_i$ , if  $S_i$  contains only one vertex  $s$ ,  $s$  represents TRUE. If  $S_i$  contains two vertices  $s, s'$ , one of them represents TRUE, the other FALSE (note that if some vertex belongs to two distinct sets  $S_i$  and  $S_j$ , it is allowed to represent, say, FALSE with respect to  $S_i$  and TRUE with respect to  $S_j$ ). Now, given an instance  $(G, c_1)$  of  $(H, c)$ -COLOURING, we build a 2-SAT formula over variable set  $V(G)$  that is satisfiable if and only if  $(G, c_1) \rightarrow (H, c)$ , as follows.

For every edge  $xy$  of  $G$ , assume that in  $f$ ,  $x$  is mapped to a vertex of  $S_i$  and  $y$  is mapped to a vertex of  $S_j$  (necessarily if  $(G, c_1) \rightarrow (H, c)$  we have  $i \neq j$  since  $S_i, S_j$  induce independent sets). Let  $F_{xy}$  be a disjunction of conjunctive 2-clauses over variables  $x, y$ . For every edge  $uv$  between a vertex  $u$  in  $S_i$  and a vertex  $v$  in  $S_j$ , depending on the truth value assigned to  $u$  and  $v$ , add to  $F_{xy}$  the conjunctive clause that would be true if  $x$  is assigned the truth value of  $u$  and  $y$  is assigned the truth value of

$v$ . For example: if  $u = \text{FALSE}$  and  $v = \text{TRUE}$  add the clause  $(\bar{x} \wedge y)$ . When  $F_{xy}$  is constructed, transform it into an equivalent conjunction of disjunctive clauses and add it to the constructed 2-SAT formula. Now, by the construction, if the formula is satisfiable we construct a homomorphism by mapping every vertex  $x$  to the vertex of the corresponding set  $S_i$  that has been assigned the same truth value as  $x$  in the satisfying assignment. By construction it is clear that this is a valid mapping. On the other hand, if the formula is not satisfiable, there is no homomorphism of  $(G, c_1)$  to  $(H, c)$  satisfying the conditions, and hence there is no homomorphism at all.  $\square$

As a corollary of Lemma 2.6 and Proposition 2.3, we obtain the following lemma:

**Lemma 2.7.** *If  $(H, c)$  is a bipartite tropical graph where each colour is used at most twice, then  $(H, c)$ -COLOURING is polynomial-time solvable.*

Given a set  $S$  of vertices, the *boundary*  $B(S)$  is the set of vertices in  $S$  that have a neighbour out of  $S$ .

**Lemma 2.8.** *Let  $(H, c)$  be a tropical graph containing a connected subgraph  $S$  of forcing vertices such that:*

(a) *every vertex in  $B(S)$  is coloured with a distinct colour (let  $C(S)$  be the set of colours given to vertices in  $B(S)$ ), and (b) no colour of  $C(S)$  is present in  $V(H) \setminus S$ .*

*If  $(H - S)$ -LIST-COLOURING is polynomial-time solvable, then  $(H, c)$ -COLOURING is polynomial-time solvable.*

**Proof.** Let  $\bar{S} = V(H) \setminus S$ . Let  $(G, c_1)$  be an instance of  $(H, c)$ -COLOURING. Consider an arbitrary vertex  $v$  of  $G$  with  $c_1(v) = i$ . Then,  $v$  must be mapped to a vertex coloured  $i$ . For every possible choice of mapping  $v$ , we will construct one instance of  $(H - S)$ -LIST-COLOURING. To construct an instance from such a choice, we first partition  $V(G)$  into two sets: the set  $V_S$  containing the vertices that must map to vertices in  $S$  (and their images are determined), and the set  $V_{\bar{S}}$  containing the vertices that must map to vertices of  $\bar{S}$ . We now distinguish two basic cases, that will be repeatedly applied during the construction.

**Case 1: vertex  $v$  is mapped to a vertex in  $S$ .** If  $v$  has been mapped to a vertex  $x$  of  $S$ , since  $x$  is a forcing vertex, the mapping of all neighbours of  $v$  is determined (anytime there is a conflict we return NO for the specific instance under construction). We continue to propagate the forced mapping as much as possible (i.e. as long as the forced images belong to  $S$ ) within a connected set of  $G$  containing  $v$ . This yields a connected set  $C_v$  of vertices of  $G$  whose mapping is determined, and whose neighbourhood  $N_v = N(C_v) \setminus C_v$  consists of vertices each of which must be mapped to a determined vertex of  $\bar{S}$ . We add  $C_v$  to  $V_S$ . We now remove the set  $C_v$  from  $G$  and repeat the procedure for all vertices of  $N_v$  using Case 2.

**Case 2: vertex  $v$  is mapped to a vertex in  $\bar{S}$ .** We perform a BFS search on the remaining vertices in  $G$ , until we have computed a maximal connected set  $C_v$  of vertices containing  $v$  in which no vertex is coloured with a colour in  $C(S)$ . Then, for every vertex  $x$  of  $C_v$  with a neighbour  $y$  that is coloured  $i$  ( $i \in C(S)$ ), by Property (a) we know that  $y$  must be mapped to a vertex in  $B(S)$ , and moreover the image of  $y$  is determined by colour  $i$ . Hence the neighbourhood  $N_v = N(C_v) \setminus C_v$  has only vertices whose mapping is determined. We add  $C_v$  to set  $V_{\bar{S}}$  and apply Case 1 to every vertex in  $N_v$ .

**End of the procedure.** Once  $V(G)$  has been partitioned into  $V_S$  and  $V_{\bar{S}}$  (where the mapping of all vertices in  $V_S \cup N(V_{\bar{S}})$  is fixed), we can reduce this instance to a corresponding instance of  $(H - S)$ -LIST-COLOURING.

In total,  $(G, c_1)$  is a YES-instance if and only if at least one of the  $O(|V(G)|)$  constructed instances of  $(H - S)$ -LIST-COLOURING is a YES-instance.  $\square$

The next lemma is similar to Lemma 2.8 but now the boundary is distinguished using edges.

**Lemma 2.9.** *Let  $(H, c)$  be a tropical graph containing a connected subgraph  $S$  of forcing vertices with boundary  $B = B(S)$  and  $N = N(B) \setminus S$ . Assume that the following properties hold:*

(a) *for every pairs  $xy, x'y'$  of distinct edges of  $B \times N$ , we have  $(c(x), c(y)) \neq (c(x'), c(y'))$ , and*

(b) *for every edge  $xy$  of  $B \times N$ , there is no edge in  $(H - S) \times (H - S)$  whose endpoints are coloured  $c(x)$  and  $c(y)$ . If  $(H - S)$ -LIST-COLOURING is polynomial-time solvable, then  $(H, c)$ -COLOURING is polynomial-time solvable.*

**Proof.** The proof is almost the same as the one of Lemma 2.8, except that now, while computing an instance of  $(H - S)$ -LIST-COLOURING, the distinction between  $V_S$  and  $V_{\bar{S}}$  is determined by the edges of  $B \times N$ .  $\square$

The next lemma identify some unique features of a tropical graph to simplify the problem into a list-homomorphism problem.

**Definition 2.10.** *A Unique Tropical Feature* in a tropical graph  $(H, c)$  is a vertex or an edge of  $H$  that satisfies one of the following conditions.

Type 1. A vertex  $u$  of  $H$  whose colour class is  $\{u\}$ .

Type 2. An edge  $uv$  of  $H$  such that there is no other edge in  $H$  whose vertices are coloured  $c(u)$  and  $c(v)$ , respectively.

Type 3. A vertex  $u$  of  $H$  such that  $N(u)$  is monochromatic in  $(H, c)$  with colour  $s$ , and every vertex coloured  $s$  that does not belong to  $N(u)$  has no neighbour coloured with  $c(u)$ .

Type 4. A forcing vertex  $u$  of  $H$  such that for each pair  $v, w$  of distinct vertices in  $N(u)$ , there is no path  $v'u'w'$  in  $H - u$  with  $c(v) = c(v'), c(u) = c(u')$  and  $c(w) = c(w')$ .

**Definition 2.11.** Let  $(H, c)$  be a tropical graph and  $S$  a set of Unique Tropical Features of  $(H, c)$ .  $S$  is partitioned into four sets as  $S = S_1 \cup S_2 \cup S_3 \cup S_4$ , where  $S_i$  is the set of unique tropical features of type  $i$  in  $S$ . We define  $H(S)$  as follows :  $V(H(S)) = (V(H) \cup \{u_v | u \in S_4, v \in N(u)\}) \setminus (S_1 \cup S_3 \cup S_4)$  and  $E(H(S)) = (E(H[V(H(S))]) \setminus S_2) \cup \{u_v v | u \in S_4, v \in N(u)\}$ .

In other words,  $H(S)$  is the graph obtained from  $H$  by removing unique tropical features of type 1, 2, and 3, and for each unique tropical feature  $u$  of type 4, replacing  $N[u]$  by  $d(u)$  pending edges.

**Lemma 2.12.** Let  $(H, c)$  be a tropical graph and  $S$  a set of unique tropical features of  $(H, c)$ . If  $(H(S))$ -LIST-COLOURING is polynomial-time solvable, then  $(H, c)$ -COLOURING is polynomial-time solvable.

**Proof.** Let  $(G, c')$  be an instance of  $(H, c)$ -COLOURING. We are going to construct a graph  $G'$  and associate to each vertex of  $G'$  a list of vertices of  $H(S)$  such that there is a list-homomorphism from  $G'$  to  $H(S)$  (with respect to these lists) if and only if there is a tropical homomorphism of  $(G, c')$  to  $(H, c)$ . We proceed with sequential modifications, by considering the unique tropical features of  $S$  one by one.

First, we can see the instance  $(G, c')$  of  $(H, c)$ -COLOURING as an instance of  $H$ -LIST-COLOURING by giving to each vertex  $u$  in  $G$  the list  $L(u)$  of vertex in  $H$  coloured  $c'(u)$ . If at any point in the following, we update the list of a vertex to be empty, we can conclude that there is no tropical homomorphism between  $(G, c')$  and  $(H, c)$ .

For each unique tropical feature  $u$  of type 1 in  $S$ , there is a colour  $s$  such that only the vertex  $u$  is coloured  $s$  in  $(H, c)$ . Every vertex in  $(G, c')$  coloured  $s$  must be mapped to  $u$  and has a list of size at most one. For each vertex  $v$  in  $(G, c')$  coloured  $s$ , we update the list of each of its neighbours  $w$  such that  $L(w)$  becomes  $L(w) \cap N(u)$ . We can then delete  $v$  from  $(G, c')$  and forget  $L(v)$  without affecting the existence of a list-homomorphism. Indeed, if a homomorphism exists, then it must map each neighbour of  $v$  to a neighbour of  $u$ . Moreover, there is no other vertex of  $(G, c')$  that can be mapped to  $u$ .

For each unique tropical feature  $uv$  of type 2 in  $S$ , there is no other edge than  $uv$  in  $H$  such that the colour of its vertices are  $c(u)$  and  $c(v)$ . Every edge in  $(G, c')$  whose vertices are coloured  $c(u)$  and  $c(v)$  must be mapped to  $uv$ . For each edge  $xy$  in  $(G, c')$  such that  $c'(x) = c(u)$  and  $c'(y) = c(v)$ , we update the list of  $x$  and  $y$  such that  $L(x)$  becomes  $L(x) \cap \{u\}$  and  $L(y)$  becomes  $L(y) \cap \{v\}$ . We can then delete the edge  $uv$  from  $(G, c')$  without changing the existence of a list-homomorphism. Indeed, if a homomorphism exists, it must map  $x$  to  $u$  and  $y$  to  $v$ . Again, there is no other edge of  $(G, c')$  that can be mapped to  $uv$ .

For each unique tropical feature  $u$  of type 3 in  $S$ ,  $N(u)$  is monochromatic in  $(H, c)$  of colour  $s$  and any vertex coloured  $s$  with a neighbour coloured  $c(u)$  must belong to  $N(u)$ . Let  $v$  be a vertex of  $G$  such that  $c(v) = c(u)$  and  $N(v)$  is monochromatic in  $(G, c')$  of colour  $s$ . Then, we can assume that  $v$  is mapped to  $u$ . Indeed, in every tropical homomorphism of  $(G, c')$  to  $(H, c)$ , if  $v$  is not mapped to  $u$ , it is mapped to a vertex at distance 2 from  $u$ , and one obtains another valid tropical homomorphism by only changing the mapping of  $v$  to  $u$ . For each such vertex  $v$ , we update the list of its neighbours  $w$  such that  $L(w)$  becomes  $L(w) \cap N(u)$ . We can then delete  $v$  from  $(G, c')$  without affecting the existence of a list-homomorphism. Indeed, if a homomorphism exists, it maps every neighbour of  $v$  to a neighbour of  $u$ . Moreover, there no other vertex of  $(G, c')$  can be mapped to  $u$ .

Finally, let  $u$  be a vertex of type 4 in  $S$ . Thus, by the definition of type 4, for each  $v, w \in N(u)$ , there is no other path  $v'u'w'$  in  $H$  such that  $c(v) = c(v'), c(u) = c(u')$  and  $c(w) = c(w')$ . Furthermore, since  $u$  is a forcing vertex, we have  $c(v) \neq c(w)$  for any two neighbours  $v$  and  $w$  of  $u$ .

Let  $x$  be a vertex of  $G$  such that  $c'(x) = c(u)$  and such that at least two neighbours of  $x$  are of colours  $c(v)$  or  $c(w)$ , one of each. Then, as  $x$  is of type 4, any homomorphism of  $(G, c')$  to  $(H, c)$  must map all such vertices  $x$  to  $u$ . Remove all such vertices from  $G$  and let  $(G', c')$  be the remaining tropical graph. For any vertex  $y$  of  $G'$  if it is of colour  $c(u)$ , it may then either map to another vertex of this colour, or all its neighbours must map a same neighbour of  $u$ . Let  $(H_1, c)$  be a tropical graph obtained from  $(H, c)$  by removing the vertex  $u$ , and then adding one new vertex for each vertex in  $N_H(u)$  and assigning the colour  $c(u)$  to it. It follows that  $(G', c')$  admits a homomorphism to  $(H', c)$  if and only if  $(G, c')$  admits a homomorphism to  $(H, c)$ , proving our claim.

In conclusion, we have built an instance  $(G', L)$  of  $H(S)$ -LIST-COLOURING that maps to  $H(S)$  if and only if  $(G, c')$  maps to  $(H, c)$ , thus proving our claim. We remark, furthermore, that these changes used to introduced  $(G', L)$  and  $H(S)$  are compatible even between different types of vertices, thus we may allow  $S$  to contain a combination of such vertices. However, in this work we will only consider sets  $S$  whose elements are all of a same type.  $\square$

### 3. $(H, c)$ -COLOURING and the Dichotomy Conjecture

Since each  $(H, c)$ -COLOURING problem is a CSP, the Feder–Vardi Dichotomy Conjecture (Conjecture 1.1) would imply a complexity dichotomy for the class of  $(H, c)$ -COLOURING problems. As we mentioned before, a proof of the conjecture has been recently announced, thus every  $(H, c)$ -COLOURING is either polynomial time solvable or it is an NP-complete problem. Here we point out that an independent proof even on a very restricted set of  $(H, c)$  would also prove the original conjecture.

Following the construction of Feder and Vardi ([20, Theorem 10]) and based on its exposition in the book by Hell and Nešetřil [23, Theorem 5.14], one can modify their gadgets to prove a similar statement for the class of 2-tropical bipartite graph homomorphism problems. The proof being very similar to the proofs in [8,20,23], we skip it here and refer to our manuscript [21] instead.

**Theorem 3.1.** For each CSP template  $T$  there is a 2-coloured graph  $(H, c)$  such that  $(H, c)$ -COLOURING and  $T$ -CSP are polynomially equivalent. Moreover,  $(H, c)$  can be chosen to be bipartite and homomorphic to a 2-coloured forcing path.

#### 4. Minimal graphs $H$ for NP-complete $H$ -LIST-COLOURING

Recall the dichotomy theorem for list homomorphism problems of Feder, Hell and Huang ([Theorem 1.5](#)):  $H$ -LIST-COLOURING is polynomial-time solvable if  $H$  is bipartite and its complement is a circular arc graph, otherwise NP-complete. Alternatively, the latter class of graphs was characterized by Trotter and Moore [[30](#)] in terms of seven families of forbidden induced subgraphs: six infinite ones and a finite one. One of these families is the family of even cycles of length at least 6. The only tree in the list of forbidden graphs, which is called  $G_1$  in [[17](#)], is a claw where each edge is subdivided twice.

In fact, one can show the following result (see [[21](#)] for a proof).

**Theorem 4.1.** Let  $H$  be a graph in the characterization of forbidden induced subgraphs of [[30](#)] that is not an even cycle. Then,  $H$ -TROPICAL-COLOURING is polynomial-time solvable.

In this section, we first turn our attention to the family of even cycles of length at least 6. We show that  $C_{2k}$ -TROPICAL-COLOURING is polynomial-time solvable for any  $k \leq 6$ . On the other hand, for any  $k \geq 24$ ,  $C_{2k}$ -TROPICAL-COLOURING is NP-complete.

##### 4.1. Polynomial-time cases for even cycles

We now prove that the tropical homomorphism problems for small even cycles are polynomial-time solvable.

**Theorem 4.2.** For each integer  $k$  with  $2 \leq k \leq 6$ ,  $C_{2k}$ -TROPICAL-COLOURING is polynomial-time solvable.

**Proof.** Since  $C_4$ -LIST-COLOURING is polynomial-time solvable,  $C_4$ -TROPICAL-COLOURING is polynomial-time solvable.

We will consider all cases  $k \in \{3, 4, 5, 6\}$  separately. But in each of those cases we note that if  $(C_{2k}, c)$  is not a core, then the core is path, and since the  $P_k$ -LIST-COLOURING is polynomial-time solvable for any  $k \geq 1$ ,  $C_{2k}$ -TROPICAL-COLOURING would also be polynomial-time solvable. Hence in the rest of the proof we always assume  $(C_{2k}, c)$  is a core. Furthermore, by [Proposition 2.3](#), we can assume that the colour sets of  $c$  in  $X$  and  $Y$  are disjoint.

First, assume  $k = 3$ . There are three vertices in each part of the bipartition of  $C_6$ . If one vertex is coloured with a colour not present anywhere else in the part, [Lemma 2.12](#) implies again that  $(C_6, c)$ -COLOURING is polynomial-time solvable. Hence, we can assume that each part of the bipartition is monochromatic. But then  $(C_6, c)$  is not a core, a contradiction with our assumption.

Suppose  $k = 4$ . There are four vertices in each part of the bipartition  $(X, Y)$  of  $C_8$ . If there is a vertex that, in  $c$ , is the only one coloured with its colour, since  $P_k$ -LIST-COLOURING is polynomial-time solvable for any  $k \geq 1$ , by [Lemma 2.12](#)  $(C_8, c)$ -COLOURING is polynomial-time solvable. Hence we may assume that each colour appears at least twice, in particular each part of the bipartition is coloured with either one or two colours. If some part, say  $X$ , is coloured with only one colour (say Blue) then  $(C_8, c)$  is not a core which again contradicts our assumption. Hence, in each part, there are exactly two vertices of each colour. In this case we can use [Lemma 2.6](#) with  $S_1, S_2, S_3$  and  $S_4$  being the four sets of two vertices with the same colour. It follows that  $(C_8, c)$ -COLOURING is polynomial-time solvable.

Assume that  $k = 5$ , and let  $c$  be a vertex-colouring of  $C_{10}$ . By similar arguments as in the proof of [Theorems 5.1](#) and [6.1](#), using [Lemma 2.12](#) and the fact that  $(H, c)$  should not be homomorphic to a  $P_2$ - or  $P_3$ -subgraph, each part of the bipartition  $(X, Y)$  contains exactly two vertices of one colour and three vertices of another colour, say  $X$  has three vertices coloured 1 and two vertices coloured 2, and  $Y$  has three vertices coloured  $a$  and two vertices coloured  $b$ .

The cyclic order of the colours of  $X$  can be either  $1 - 1 - 1 - 2 - 2$  or  $1 - 1 - 2 - 1 - 2$  (up to permutation of colours and other symmetries). If this order is  $1 - 1 - 1 - 2 - 2$ , then the vertex of  $Y$  adjacent to the two vertices coloured 2 satisfies the hypothesis of [Lemma 2.12](#) and hence  $(C_{10}, c)$ -COLOURING is polynomial-time solvable. The same argument can be applied to  $Y$ , hence the cyclic order of the colours of  $Y$  is  $a - a - b - a - b$ .

Hence, there is a unique vertex  $y$  of  $Y$  whose two neighbours are coloured 1. If  $c(y) = b$ , then the second vertex of  $Y$  coloured  $b$  is in the centre of a 3-vertex path coloured  $1 - b - 2$  that satisfies the hypothesis of [Lemma 2.12](#), hence  $(C_{10}, c)$ -COLOURING is polynomial-time solvable. Therefore, we have  $c(y) = a$ . By the same argument, the unique vertex of  $X$  adjacent to two vertices of  $Y$  coloured  $a$  must be coloured 1. Therefore, up to symmetries  $c$  is one of the three colourings  $1 - a - 1 - a - 2 - b - 1 - a - 2 - b$ ,  $1 - a - 1 - b - 2 - a - 1 - a - 2 - b$  and  $1 - a - 1 - b - 2 - a - 1 - b - 2 - a$  (in the cyclic order).

We are going to use the [Lemma 2.6](#) to conclude the case  $k = 5$ . In a homomorphism to  $(C_{10}, c)$ , a vertex coloured 2 or  $b$  can only be mapped to the two vertices in  $(C_{10}, c)$  of the corresponding colour. A vertex  $v$  coloured 1 adjacent to at least one vertex coloured  $b$  or a vertex coloured  $a$  adjacent to at least one vertex coloured 2 also can only be mapped to two vertices of  $(C_{10}, c)$  (the ones having the same properties as  $v$ ). However, a vertex coloured 1 all whose neighbours are coloured  $a$  can be mapped to three different vertices in  $(C_{10}, c)$  (say  $x_1, x_2, x_3$ , the vertices coloured 1, that all have a neighbour coloured  $a$ ). But at least one of  $x_1, x_2, x_3$ , say  $x_1$ , has a common neighbour coloured  $a$  with one of the two other vertices (say  $x_2$ ). Therefore, if



there is a homomorphism  $h$  of some tropical graph  $(G, c_1)$  to  $(C_{10}, c)$  mapping a vertex  $v$  of  $G$  coloured 1 all whose neighbours are coloured  $a$  to  $x_1$ , we can modify  $h$  so that  $v$  is mapped to  $x_2$  instead. In other words, there is a homomorphism of  $(G, c_1)$  to  $(C_{10}, c)$  where none of the vertices coloured 1 all whose neighbours are coloured  $a$  is mapped to  $x_1$ . Therefore such vertices have two possible targets:  $x_2$  and  $x_3$ . The same is true for vertices coloured  $a$  all whose neighbours are coloured 1. Thus,  $(C_{10}, c)$  satisfies the hypothesis of Lemma 2.6 and  $(C_{10}, c)$ -COLOURING is polynomial-time solvable.

Finally, assume now that  $k = 6$ . Again, using Lemma 2.12, we can assume that each part of the bipartition has at most three colours, and each colour appears at least twice. Furthermore, if there are exactly three colours in each part, each colour appears exactly twice and hence  $(C_{12}, c)$ -COLOURING is polynomial-time solvable by Lemma 2.6. If one part of the bipartition has one colour and the other has at most two colours, then  $(C_{12}, c)$  would not be a core. Therefore, the numbers of colours of the parts in the bipartition are either one and three, two and three, or two and two.

Assume that one part, say  $X$ , is monochromatic (say Red) and the other,  $Y$ , has three colours (thus two vertices of each colour). For the graph to be a core and not satisfy Lemma 2.12, the three colours of  $Y$  must form the cyclic pattern  $x-y-z-x-y-z$ . In this case, considering any vertex  $v$  of colour Red in an input tropical graph  $(G, c_1)$ , in any homomorphism  $(G, c_1) \rightarrow (C_{12}, c)$ , all the neighbours of  $v$  with the same colour must be identified. Furthermore, no Red vertex in  $(G, c_1)$  can have neighbours of three distinct colours. Therefore, the mapping of each connected component is forced after making a choice for one vertex. Since there are two choices per vertex, we have a polynomial-time algorithm for  $(C_{12}, c)$ -COLOURING.

Assume now that one part, say  $X$ , contains two colours ( $a$  and  $b$ ) and the other,  $Y$ , contains three colours ( $x, y$  and  $z$ ). Note that there are exactly two vertices of each colour in  $Y$ . We are going to use Lemma 2.6 to conclude this case. A vertex of some input graph  $(G, c_1)$  coloured  $x, y$  or  $z$  can only be mapped to two possible vertices in  $(C_{12}, c)$ . A vertex of  $(G, c_1)$  coloured  $a$  or  $b$  (say  $a$ ) and having all its neighbours of the same colour, say  $x$ , might be mapped to more than two vertices of  $(C_{12}, c)$ . However, once again, there are always two of these vertices that, together, are adjacent to all the vertices of colour  $x$  (indeed, there are only two vertices of colour  $x$ ). These two vertices are the designated targets for Lemma 2.6. A vertex coloured  $a$  (or  $b$ ) with two different colours in its neighbourhood can only be mapped to two possible vertices if there is no pattern  $x-a-y-a-x-a-y$  in the graph (up to permutation of colours). Hence, if there is no such pattern in the graph (up to permutation of colours),  $(C_{12}, c)$  satisfies the hypothesis of Lemma 2.6 and  $(C_{12}, c)$ -COLOURING is polynomial-time solvable. On the other hand, if there is a pattern  $x-a-y-a-x-a-y$  in the graph, then there is a unique path coloured  $a-x-b$  or  $a-y-b$  in the graph and, by Lemma 2.12,  $(C_{12}, c)$ -COLOURING is polynomial-time solvable as well.

Therefore, we are left to consider the cases where there are exactly two colours in each part. We assume first that there are two vertices coloured  $a$  and four vertices coloured  $b$  in one part, say  $X$ . If the neighbours of vertices of colour  $a$  all have the same colour, say  $x$ , then  $(C_{12}, c)$  is not a core because it can be mapped to its sub-path coloured  $a-x-b-y$ . We suppose without loss of generality that the coloured cycle contains a path coloured  $y-a-x-b$ . Then, if there is no other path coloured  $y-a-x-b$ , by Lemma 2.12  $(C_{12}, c)$ -COLOURING is polynomial-time solvable. Therefore, there is another such path in  $(C_{12}, c)$ . If this other path is part of a path  $x-a-y-a-x$ , then the problem is polynomial-time solvable by applying Lemma 2.12 to the star  $a-y-a$ . Up to symmetry, we are left with two cases:  $y-a-x-b-b-b-b-a-b-b-b-b$  or  $y-a-x-b-b-b-b-b-b-b-a-b-b$  (where a dot could be colour  $x$  or  $y$ ). The first case must be  $y-a-x-b-b-b-y-a-x-b-b-b$ , because otherwise,  $(C_{12}, c)$  is not a core. Any placement of the remaining  $x$ 's and  $y$ 's yields a polynomial-time solvable case using Lemma 2.8. Similarly, the second case must be  $y-a-x-b-b-b-b-b-b-b-b-b-y-a-x-b$ . Then,  $(C_{12}, c)$ -COLOURING is polynomial-time solvable because of Lemma 2.9, with  $a-x-b-y-a$  as forcing set and  $x-b-b-b-b-y$ , which contains no vertex coloured  $a$ , as the other set.

Finally, we can assume, without loss of generality, that there are exactly three vertices for each of the two colours in each part. There are three possible configurations in each part:  $a-a-a-b-b-b, a-a-b-b-a-b$  or  $a-b-a-b-a-b$ , up to permutations of colours. If one part of the bipartition is in the first configuration, then, either we have the pattern  $a-x-b$  or  $a-y-b$  that satisfies the hypothesis of Lemma 2.12, or we have two paths  $a-x-b$ , in which case, there is a unique path  $a-y-a$  or  $b-y-b$  which satisfies the hypothesis of Lemma 2.12. Suppose some part of the bipartition is in the second case. Then, if we have the pattern  $a-x-a-b-b-x-b-b-b-a-b-b$ , there is a unique path  $a-x-b$  satisfying the hypothesis of Lemma 2.12. Otherwise, we have the pattern  $a-x-a-b-b-y-b-b-b-a-b-b$ , in which case we can apply Lemma 2.6 in a similar way as for  $C_{10}$ . Therefore, both parts of the bipartition must be in the third configuration. But then every vertex is a forcing vertex and we can apply Lemma 2.5. This completes the proof.  $\square$

## 4.2. NP-completeness results for even cycles

We now show that  $C_{2k}$ -TROPICAL-COLOURING is NP-complete whenever  $k \geq 24$ . We present a proof using a specific 4-tropical 48-cycle. The proof holds similarly for any larger even cycle. It also works similarly for some 3-tropical cycles  $C_{2k}$  for  $k \geq 24$  and for 2-tropical cycles  $C_{2k}$  for  $k \geq 27$ .

We use the colour set  $\{G, B, R, Y\}$  (for Green, Blue, Red and Yellow).

We define  $P_{x,y}$  to be a tropical path of length 8, with vertices  $x = x_0, x_1, \dots, x_7, x_8 = y$  where  $\{c(x), c(y)\} = \{G, B\}$ ,  $c(x_5) = R$  and all others are coloured Yellow. Thus,  $P_{x,y}$  represents one of the two non-isomorphic tropical graphs from Fig. 1. The distance of the only vertex of colour  $R$  from the two ends defines an orientation from one end to another. Thus, in our figures, an arc between two vertices  $u$  and  $v$  is a  $P_{uv}$  path.

Similarly,  $Q_{z,t}$  is defined to be a tropical path of length 10 with vertices  $z = z_0, z_1, \dots, z_9, z_{10} = t$  where  $\{c(z), c(t)\} = \{G, B\}$ ,  $c(z_5) = R$  and all others are coloured Yellow. In this case, as the only vertex of colour  $R$  is at the same distance from

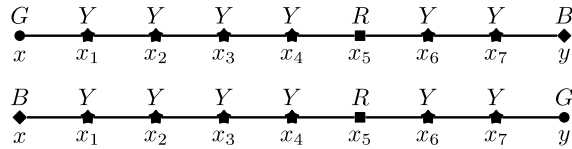


Fig. 1. The two non-isomorphic graphs of type  $P_{xy}$ .

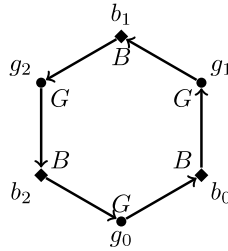
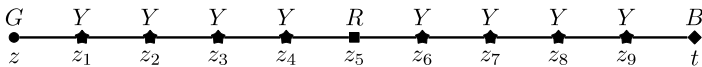


Fig. 2. A short representation of the 4-tropical 48-cycle  $(C_{48}, c)$ .

both ends, the two possible colourings of the end-vertices correspond to isomorphic graphs. Hence, in our figures, a dotted edge will be used to represent a  $Q$ -type path between two vertices.



The following lemma is easy to observe.

**Lemma 4.3.** *The following is true.*

1.  $P_{x,y}$  admits a tropical homomorphism to  $P_{u,v}$  if and only if  $c(x) = c(u)$  and  $c(y) = c(v)$ .
2.  $Q_{z,t}$  admits a tropical homomorphism to  $P_{u,v}$  both in the case where  $c(z) = c(u)$  and  $c(t) = c(v)$ , and in the case where  $c(z) = c(v)$  and  $c(t) = c(u)$ .

By Lemma 4.3, in our abbreviated notation of arcs and dotted edges, a dotted edge can map to a dotted edge or to an arc as long as the colours of the end-vertices are preserved. However, to map an arc to another arc, not only the colours of the end-vertices must be preserved, but also the direction of the arc.

With our notation, the tropical directed 6-cycle of Fig. 2 corresponds to a 4-tropical 48-cycle,  $(C_{48}, c)$ .

Our aim is to show that NAE 3-SAT reduces (in polynomial time) to  $(C_{48}, c)$ -COLOURING.

**Theorem 4.4.** *For any  $k \geq 24$ ,  $C_{2k}$ -TROPICAL-COLOURING is NP-complete.*

**Proof.** We prove the statement when  $k = 24$  and observe that the same reduction holds for any  $k \geq 24$ . Indeed, one can make  $P_{x,y}$  and  $Q_{z,t}$  longer while still satisfying Lemma 4.3.

$(C_{48}, c)$ -COLOURING is clearly in NP. To show NP-hardness, we show that NAE 3-SAT can be reduced in polynomial-time to  $(C_{48}, c)$ -COLOURING.

Let  $(X, C)$  be an instance of NAE 3-SAT. To partition  $X$  into two parts, it is enough to decide, for each pair of elements of  $X$ , whether they are in a same part or not. Thus, we are expected to define a binary relation among variables which satisfies the following conditions.

1.  $X_p \sim X_q \wedge X_q \sim X_r \Rightarrow X_p \sim X_r$  (Partition)
2.  $X_p \approx X_q \wedge X_q \approx X_r \Rightarrow X_p \sim X_r$  (Partition into two parts).

To build our gadget, we start with a partial gadget associated to each pair of variables of  $X$ . To each pair  $x_i, x_j \in X$ , we associate the 4-tropical 6-cycle  $(C_{x_i x_j}, c)$  of Fig. 3. Here,  $U_G$  (coloured Green) is a common vertex of all such cycles, but all other vertices are distinct.

We are interested in possible mappings of this partial gadget into our tropical 48-cycle,  $(C_{48}, c)$  of Fig. 2. By the symmetries of  $(C_{48}, c)$ , we assume, without loss of generality, that  $U_G$  maps to  $g_0$ . Having this assumed, we observe the following crucial fact.

**Claim 4.5.** *There are exactly two possible homomorphisms of  $(C_{x_i x_j}, c)$  to  $(C_{48}, c)$ .*

1. A mapping  $\sigma$  given by  $\sigma(U_G) = g_0, \sigma(b_{x_i x_j}^0) = b_0, \sigma(g_{x_i x_j}^1) = g_1, \sigma(b_{x_i x_j}^1) = b_1, \sigma(g_{x_i x_j}^2) = g_2$  and  $\sigma(b_{x_i x_j}^2) = b_2$

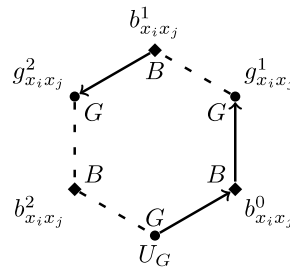


Fig. 3.  $(C_{x_i x_j}, c)$ .

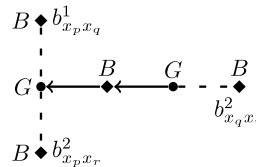


Fig. 4. Tree connecting  $b_{x_p x_q}^1, b_{x_p x_r}^2$  and  $b_{x_q x_r}^2$ .

2. A mapping  $\rho$  give by  $\rho(U_G) = g_0, \rho(b_{x_i x_j}^0) = b_0, \rho(g_{x_i x_j}^1) = g_1, \rho(b_{x_i x_j}^1) = b_0, \rho(g_{x_i x_j}^2) = g_1$  and  $\rho(b_{x_i x_j}^2) = b_0$ .

The main idea of our reduction lies in Claim 4.5. After completing the description of our gadgets, we will have a 4-tropical graph containing a copy of  $C_{x_i x_j}$  for each pair  $x_i, x_j$  of variables. If we find a homomorphism of this graph to  $(C_{48}, c)$ , then its restriction to  $C_{x_i x_j}$  is either a mapping of type  $\sigma$ , or of type  $\rho$ . A  $\sigma$ -mapping would correspond to assigning  $x_i$  and  $x_j$  to two different parts, and a  $\rho$ -mapping would correspond to assigning them to a same part of a partition of  $X$ .

**Observation 4.6.** It is never possible to map  $b_{x_i x_j}^2$  to  $b_1$  or to map  $b_{x_i x_j}^1$  to  $b_2$ .

To enforce the two conditions, partitioning  $X$  into two parts by a binary relation, we add more structures. Consider the three partial gadgets  $(C_{x_p x_q}, c), (C_{x_q x_r}, c)$  and  $(C_{x_p x_r}, c)$ . Considering  $b_{x_p x_q}^1$  of  $(C_{x_p x_q}, c)$ , we choose vertices  $b_{x_p x_r}^2$  and  $b_{x_q x_r}^2$  from  $(C_{x_p x_r}, c)$  and  $(C_{x_q x_r}, c)$  respectively, and connect them by a tree as in Fig. 4. The internal vertices of these trees are all new and distinct.

We build similar structures on  $(b_{x_p x_r}^1, b_{x_q x_r}^2, b_{x_p x_q}^2)$  and on  $(b_{x_q x_r}^1, b_{x_p x_q}^2, b_{x_p x_r}^2)$ , where the order corresponds to the structure. Let  $(C_{x_p x_q x_r}, c)$  be the resulting partial gadget (see Fig. 5).

**Claim 4.7.** In any mapping of  $(C_{x_p x_q x_r}, c)$  to  $(C_{48}, c)$ , an odd number of  $(C_{x_i x_j}, c)$  is mapped to  $(C_{48}, c)$  by a  $\rho$ -mapping. Furthermore, for any choice of an odd number of  $(C_{x_i x_j}, c)$  (that is either one or all three of them), there exists a mapping of  $(C_{x_p x_q x_r}, c)$  to  $(C_{48}, c)$  which induces a  $\rho$ -mapping exactly on our choice.

**Proof of claim.** Indeed, each  $(C_{x_i x_j}, c)$  can be mapped to  $(C_{48}, c)$  only by  $\sigma$  or  $\rho$ , which implies that there are eight ways to map the union of  $(C_{x_p x_q}, c), (C_{x_p x_r}, c)$  and  $(C_{x_q x_r}, c)$  to  $(C_{48}, c)$ . Of these eight ways, four map an odd number of  $(C_{x_i x_j}, c)$  to  $(C_{48}, c)$  by a  $\rho$ -mapping. The four remaining ways are to map all  $(C_{x_i x_j}, c)$  to  $(C_{48}, c)$  by a  $\sigma$ -mapping, or to choose one of them to map by a  $\sigma$ -mapping and to map the two others by a  $\rho$ -mapping. One can check easily that the union of  $(C_{x_p x_q}, c), (C_{x_p x_r}, c), (C_{x_q x_r}, c)$  and the tree of Fig. 4 has six ways to be mapped to  $(C_{48}, c)$ . Indeed, it is no longer possible to map all  $(C_{x_i x_j}, c)$  by  $\sigma$  nor to map  $(C_{x_p x_r}, c)$  by  $\sigma$  and  $(C_{x_p x_q}, c)$  and  $(C_{x_q x_r}, c)$  by  $\rho$ . By symmetry, this implies Claim 4.7.  $\square$

Finally, to complete the gadget, what remains is to forbid the possibility of a  $\rho$ -mapping for all three of  $(C_{x_p x_q}, c), (C_{x_p x_r}, c)$  and  $(C_{x_q x_r}, c)$  in the case where  $(x_p x_q x_r)$  is a clause in  $C$ . This is done by adding a  $b_{x_p x_q}^1 b_{x_q x_r}^2$ -path shown in Fig. 6.

Let  $f(X, C)$  the final gadget we have just built. Assuming that there are  $v$  variables and  $c$  clauses, the 4-tropical graph  $f(X, C)$  has  $1 + 53 \times v^2 + 132 \times v^3 + 33 \times c$  vertices. To complete our proof we want to prove the following.

$(X, C)$  is a YES instance of NAE 3-SAT if and only if the 4-tropical graph  $f(X, C)$  admits a homomorphism to  $(C_{48}, c)$ .

It follows directly from our construction that if  $f(X, C) \rightarrow (C_{48}, c)$ , then  $(X, C)$  is a YES instance of NAE 3-SAT. We need to show that if  $(X, C)$  is a YES instance, then there exists a homomorphism of  $f(X, C)$  to  $(C_{48}, c)$ .

Let  $(X, C)$  be a YES instance of NAE 3-SAT. There exists a partition  $p : X \rightarrow \{A, B\}$  such that every clause in  $C$  is not fully included in  $A$  or  $B$ . We build a homomorphism of  $f(X, C)$  to  $(C_{48}, c)$  in the following way.  $U_G$  is mapped to  $g_0$ . For each pair of variables  $x_i, x_j \in X$ , we map  $C_{x_i x_j}$  by a  $\rho$ -mapping if and only if  $p(x_i) = p(x_j)$ , and by a  $\sigma$ -mapping otherwise. For every triple of variable  $x_p, x_q, x_r \in X$ , there is an odd number of pairs  $x_i, x_j$  of variables in  $\{x_p, x_q, x_r\}$  such that  $p(x_i) = p(x_j)$ . It follows from Claim 4.7 that one can extend the mapping to any  $C_{x_p x_q x_r}$ . Moreover, as two such structures only intersect on  $C_{x_i x_j}$ , we

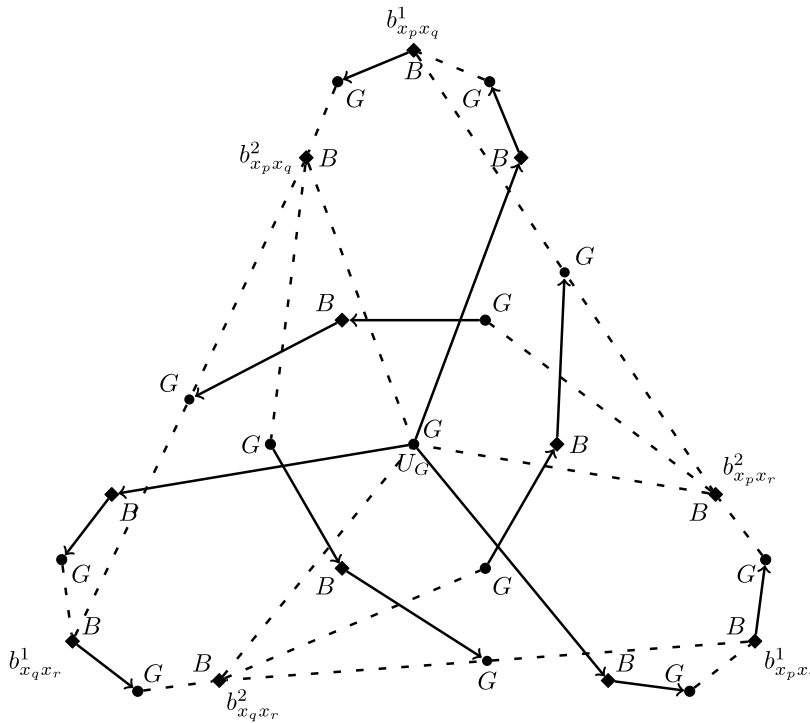


Fig. 5.  $C_{x_p x_q x_r}$ .

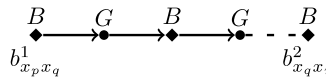


Fig. 6. Partial clause gadget.

can extend the mapping to every  $C_{x_p x_q x_r}$ . It only remains to map the  $b^1_{x_p x_q} b^2_{x_q x_r}$ -path added for the clause, shown in Fig. 6. If  $(x_p, x_q, x_r)$  is a clause in  $C$ , then  $p(x_p) \neq p(x_q)$  or  $p(x_q) \neq p(x_r)$ . It follows that  $C_{x_p x_q}$  or  $C_{x_q x_r}$  is mapped by a  $\sigma$ -mapping, in which case the  $b^1_{x_p x_q} b^2_{x_q x_r}$ -path shown in Fig. 6 can also be mapped. We have shown that there is a homomorphism of  $f(X, C)$  to  $(C_{48}, c)$ . This concludes the proof.  $\square$

We observe that the proof could be slightly modified to obtain variations of Theorem 4.4.

**Remark 4.8.**

1. In the reduction from Theorem 4.4, Red vertices are never in the same part of the bipartition as Blue and Green vertices. It follows that one could colour every Red vertex Blue, and Theorem 4.4 would still hold, for 3-tropical cycles.
2. The idea of this proof can also be extended for a 2-tropical 54-cycle. To do this we first insert a Red vertex between  $x_5$  and  $x_6$  in  $P_{xy}$  and a Red vertex between  $z_5$  and  $z_6$  in  $Q_{zt}$ . We observe that the proof follows similarly. However in this case all blue vertices are in one part and all green vertices are on the other part of the bipartition. Thus, as in the previous claim, we can kill off two colours now and use the natural bipartition to distinguish two sets of colours for each colour class.

**5. Bipartite graphs of small order**

In this section, we show that for each graph  $H$  of order at most 8,  $H$ -TROPICAL-COLOURING is polynomial-time solvable. On the other hand, there is a graph  $H_9$  of order 9 such that  $H_9$ -TROPICAL-COLOURING is NP-complete.

**Theorem 5.1.** For any bipartite graph  $H$  of order at most 8,  $H$ -TROPICAL-COLOURING is polynomial-time solvable.

**Proof.** It suffices to prove that for each bipartite graph  $H$  of order at most 8 and each colouring  $c$  of  $H$ ,  $(H, c)$ -COLOURING is polynomial-time solvable. In fact, by Proposition 2.3 it suffices to show the statement for colourings of  $H$  such that the colour sets in the two parts of the bipartition are disjoint. To prove that  $(H, c)$ -COLOURING is polynomial-time solvable it is

enough to prove it for the core of  $S(H, c)$ , it is also enough to prove it for each connected component of  $(H, c)$ . Thus in the rest of the proof we always assume that  $(H, c)$  is connected core. Let  $(X, Y)$  be the bipartition of  $H$ .

Since the only graphs of order at most 8 in the characterization of minimal NP-complete graphs  $H$  with  $H$ -LIST-COLOURING NP-complete are the cycles  $C_6$  and  $C_8$  [17], by Theorem 1.5, if  $H$  does not contain an induced 6-cycle or an induced 8-cycle, then  $H$ -LIST-COLOURING is polynomial-time solvable and therefore  $H$ -TROPICAL-COLOURING is polynomial-time solvable. Therefore  $H$  contains an induced 6-cycle or an induced 8-cycle.

If  $H$  contains an induced copy of  $C_8$ , then  $H$  is isomorphic to  $C_8$  itself and hence we are done by Theorem 4.2. Therefore, we can assume that  $H$  contains an induced copy of  $C_6$ . Again by Theorem 4.2, if  $H$  is isomorphic to  $C_6$ , we are done.

Now, assume that  $H$  is a bipartite graph of order 7 or 8 with an induced copy of  $C_6$ . If one part, say  $X$ , is of order 3, then all its vertices belong to each 6-cycle of  $H$ . Hence, for each  $x \in X$ ,  $(H - x)$ -LIST-COLOURING is polynomial-time solvable. Thus, if  $X$  is not monochromatic, we can apply Lemma 2.12 and  $(H, c)$ -COLOURING is polynomial-time solvable. Therefore we may assume  $X$  is monochromatic, say Blue. If  $Y$  contains at most two colours, then  $(H, c)$  contains as a subgraph the path on three vertices where the central vertex is Blue and the other vertices are coloured with the colours of  $Y$ . But then  $(H, c)$  maps to this subgraph and, therefore, it is not a core, a contradiction. Hence,  $Y$  contains at least three colours. If  $|Y| = 4$ , then  $Y$  contains two colours that are the unique ones coloured with their colour. Moreover,  $(H - \{x, y\})$ -LIST-COLOURING contains no 6-cycle and, therefore, by Lemma 2.12  $(H, c)$ -COLOURING, is polynomial-time solvable. Hence we can assume that  $|Y| = 5$ . If  $Y$  contains at least four colours, by the same argument we are done, therefore, we assume that  $Y$  contains exactly three colours. If  $(H, c)$  contains a star with a Blue centre and a three leaves of different colours, then  $(H, c)$  is not a core. Therefore the neighbourhood of each vertex of  $X$  contains at most two colours. Assume that the three vertices  $y_1, y_2, y_3$  of  $Y$  in the 6-cycle have three different colours. Let  $y_4$ , another element of  $Y$  be of the same colour as  $y_i$ . By the previous observation,  $y_4$  can only be adjacent to neighbours of  $y_i$ . But then mapping  $y_4$  to  $y_1$  is a homomorphism which means  $(H, c)$  is not a core. Therefore, we can assume that  $c(y_1) = c(y_2) = 1$  and  $c(y_3) = 2$ . Then, the vertex coloured 3 has degree 1 and is adjacent to the common neighbour of  $y_1$  and  $y_2$ . But then again,  $(H, c)$  is not a core.

Therefore,  $H$  is a bipartite graph of order 8 and  $|X| = |Y| = 4$ . If there are at least three colours in one part of the bipartition (say  $X$ ), then two vertices  $x_1, x_2$  in  $X$  form two colour classes of size 1. Moreover,  $H - \{x_1, x_2\}$  has no 6-cycle and therefore, by Lemma 2.12,  $(H, c)$ -COLOURING is polynomial-time solvable. We may then assume that each part of the bipartition contains at most two colours. If one part, say  $X$ , contains exactly one colour (say Blue), then  $(H, c)$  contains a path on three vertices with every colour of  $c$  (the central vertex is Blue) and is not a core, a contradiction. Therefore each part of the bipartition contains exactly two colours. If in each part, each colour has exactly two vertices, we can apply Lemma 2.6 to show that  $(H, c)$ -COLOURING is polynomial-time solvable. Therefore, we can assume that there is a colour, say Blue, where exactly three vertices of one part, say  $x_1, x_2, x_3$  from part  $X$ , coloured Blue ( $x_4$  is coloured Green). If  $H - x_4$  contains no induced 6-cycle (it cannot contain an 8-cycle since it has order 7), then  $(H - x_4)$ -LIST-COLOURING is polynomial-time solvable and we can use Lemma 2.12 and  $(H, c)$ -COLOURING is polynomial-time solvable. Hence we may assume  $H - x_4$  contains an induced 6-cycle  $C$ . Note that  $C$  must contain three vertices of  $X$  and therefore contains all three of  $x_1, x_2, x_3$ . If the three other vertices  $y_1, y_2$  and  $y_3$  of  $C$  are coloured with the same colour, then  $(H, c)$  is not a core, a contradiction. Therefore assume, without loss of generality, that  $c(y_1) = c(y_2) = 1$  and  $c(y_3) = 2$ . Then, in order for  $(H, c)$  to be a core, we cannot have both  $x_1$  and  $y_1$  (respectively,  $y_2$  and  $x_3$ ) of degree 3. More precisely, either  $d(y_1) = d(x_3) = 2$  and  $d(x_1) = d(y_2) = 3$ , or  $d(y_1) = d(x_3) = 3$  and  $d(x_1) = d(y_2) = 2$ . In both cases, we have  $d(y_3) = 2$ , for otherwise  $(H, c)$  contains a 4-cycle with all four colours, and  $(H, c)$  is not a core. If  $c(y_4) = 1$ , then  $(H, c)$  contains a path on four vertices coloured 2-Blue-1-Green; moreover there is no edge in  $(H, c)$  whose endpoints are coloured Green and 2, therefore  $(H, c)$  is homomorphic to the above path and is not a core. If  $c(y_4) = 2$ , then  $(H, c)$  contains a 4-coloured 4-cycle and again  $(H, c)$  is not a core, a contradiction. As no such tropical graph exists, we have shown that for all possible cases the  $(H, c)$ -colouring problem is polynomial-time solvable.  $\square$

Denote by  $H_9$  the graph obtained from a 6-cycle by adding a pendant degree 1-vertex to three independent vertices (see Fig. 7).

**Theorem 5.2.**  $H_9$ -TROPICAL-COLOURING is NP-complete.

**Proof.** We show that  $(H_9, c)$ -COLOURING is NP-complete, where  $c$  is the 4-colouring of  $H_9$  illustrated in Fig. 7. We describe a reduction from  $C_6$ -LIST-COLOURING, which is NP-complete [17]. We label the vertices in  $C_6$  from 1 to 6 sequentially. We also do that in the  $C_6$  included in  $H_9$ . We assume without loss of generality that the vertex adjacent to the Red vertex is labelled 1, and the one adjacent to the Green one is labelled 3. It follows that the vertex adjacent to the Yellow vertex is labelled 5.

Let  $(G, L)$  be an instance of  $C_6$ -LIST-COLOURING, where  $L$  is the list-assignment function. If  $G$  is not bipartite, then  $G$  has no homomorphism to  $C_6$ , so we can assume that  $G$  is bipartite. Since  $G$  and  $C_6$  are bipartite, we may assume that  $\forall u \in V(G)$ , either  $L(u) \subseteq \{1, 3, 5\}$ , or  $L(u) \subseteq \{2, 4, 6\}$ . Thus  $|L(u)| \leq 3$ .

From  $(G, L)$ , we build an instance  $f(G, L)$  of  $(H_9, c)$ -COLOURING as follows. First, we consider a copy  $G'$  of  $G$ , we let  $G' \subset f(G, L)$  and colour every vertex of  $G'$  Black. We call  $u'$  the copy of vertex  $u$  in  $G'$ . Then, for each vertex  $u$  of  $G$ , we add a gadget  $H_u$  to  $f(G, L)$  that is attached to  $u'$ . The gadget is described below and depends only on  $L(u)$ .

- If  $L(u) = \{1\}$  (respectively,  $\{3\}$  or  $\{5\}$ ), then  $H_u$  is a single Red (respectively, Green or Yellow) vertex of degree 1 adjacent only to  $u'$ .

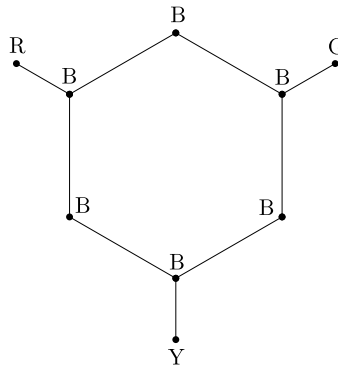


Fig. 7. The 4-tropical graph  $H_9$ .

- If  $L(u) = \{2\}$  (respectively,  $\{4\}$  or  $\{6\}$ ), then  $H_u$  consists of two 2-vertex path: a Red–Black path and a Green–Black path (respectively, a Green–Black path and a Yellow–Black path or a Yellow–Black path and a Red–Black path) whose Black vertex is of degree 2 and is adjacent to  $u'$  (the other vertex is of degree 1).
- If  $L(u) = \{2, 4\}$  (respectively,  $\{4, 6\}$  or  $\{2, 6\}$ ), then  $H_u$  is a 2-vertex Green–Black (respectively, Yellow–Black or Red–Black) path whose Black vertex is of degree 2 and adjacent to  $u'$  (the other vertex is of degree 1).
- If  $L(u) = \{1, 3\}$  (respectively,  $\{3, 5\}$  or  $\{1, 5\}$ ), then  $H_u$  is a 5-vertex Red–Black–Black–Black–Green path (respectively, Green–Black–Black–Black–Yellow or Yellow–Black–Black–Black–Red) whose middle Black vertex is of degree 3 and adjacent to  $u'$  (the endpoints of the path are of degree 1 and the other two vertices have degree 2).
- If  $L(u) = \{1, 3, 5\}$ , then  $H_u$  is a 3-vertex Black–Black–Red path with the black leaf adjacent to  $u'$ .
- If  $L(u) = \{2, 4, 6\}$ , then  $H_u$  is a 4-vertex Black–Black–Black–Red path with the black leaf adjacent to  $u'$ .

Let us prove that  $G$  has a homomorphism to  $C_6$  that fulfils the constraints of list  $L$ , if and only if  $f(G, L) \rightarrow (H_9, c)$ .

For the first direction, consider a list homomorphism  $h$  of  $G$  to  $C_6$  with the list function  $L$ . We build a homomorphism  $h'$  of  $f(G, L)$  to  $(H_9, c)$  as follows. First of all, each copy  $v'$  of a vertex  $v$  of  $G$  with  $h(v) = i$  is mapped to  $i$  in  $(H_9, c)$ . It is clear that this defines a homomorphism of the subgraph  $G'$  of  $f(G, L)$  to the Black 6-cycle in  $(H_9, c)$ . It is now easy to complete  $h'$  into a homomorphism of  $f(G, L)$  to  $(H_9, c)$  by considering each gadget  $H_u$  independently.

For the converse, let  $h_T$  be a homomorphism of  $f(G, L)$  to  $(H_9, c)$ . Then, we claim that the restriction of  $h_T$  to the vertices of the subgraph  $G'$  of  $f(G, L)$  is a list homomorphism of  $G$  to  $C_6$  with list function  $L$ . Indeed, let  $u'$  be a vertex of  $G'$ . If  $H_u$  has one vertex (say a Red vertex), then  $L(u) = \{1\}$ . Then necessarily  $u'$  is sent to a neighbour of a vertex coloured Red in  $(H_9, c)$ . Since the only such neighbour is vertex 1,  $u' \in h_T(u)$ . All the other cases follow from similar considerations.  $\square$

## 6. Trees

We now consider the complexity of tropical homomorphism problems when the target tropical graph is a tropical tree. It follows from the results in Section 4 that for every tree  $T$  of order at most 10,  $T$ -TROPICAL-COLOURING is polynomial-time solvable. Indeed, such a tree needs to contain a minimal tree  $T$  of order at most 10 for which  $T$ -LIST-COLOURING is NP-complete, and the only such tree is  $G_1$ , which has order 10 [17]. We proved in Theorem 4.1 that  $G_1$ -TROPICAL-COLOURING is polynomial-time solvable. With some efforts, one can extend this to trees of order at most 11. The proof is tedious and we omit it here, see [21] for details.

**Theorem 6.1.** For every tree  $T$  of order at most 11,  $T$ -TROPICAL-COLOURING is polynomial-time solvable.

Let  $T_{23}$  be the tree of order 23 shown in Fig. 8.

**Theorem 6.2.**  $T_{23}$ -TROPICAL-COLOURING is NP-complete.

**Proof.** We give a reduction from 3-SAT to  $(T_{23}, c)$ -COLOURING, where  $c$  is the colouring of Fig. 8. Given an instance  $(X, C)$  of 3-SAT, we construct an instance  $f(X, C) = (G_{X,C}, c_{X,C})$  of  $(T_{23}, c)$ -COLOURING.

To construct the graph  $G_{X,C}$ , we first define the following building blocks. See Fig. 9 for illustrations.

- The block  $S_{1,2}$  is a graph built from a 7-vertex black-coloured path with vertex set  $\{x_1, \dots, x_7\}$  where a BlackCross leaf is attached to vertices  $x_1$  and  $x_7$ , a RedDot leaf is attached to vertices  $x_2$  and  $x_6$ , and a GreenDot leaf is attached to vertex  $x_4$ .

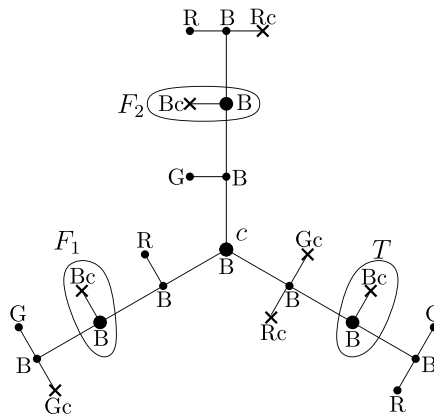
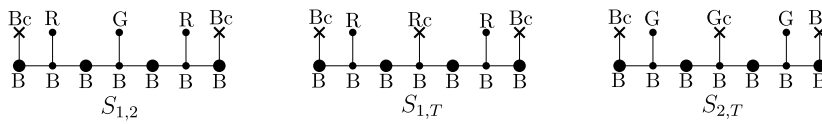


Fig. 8. The 7-tropical tree  $(T_{23}, c)$ .



(a) The blocks  $S_{1,2}$ ,  $S_{1,T}$  and  $S_{2,T}$ .

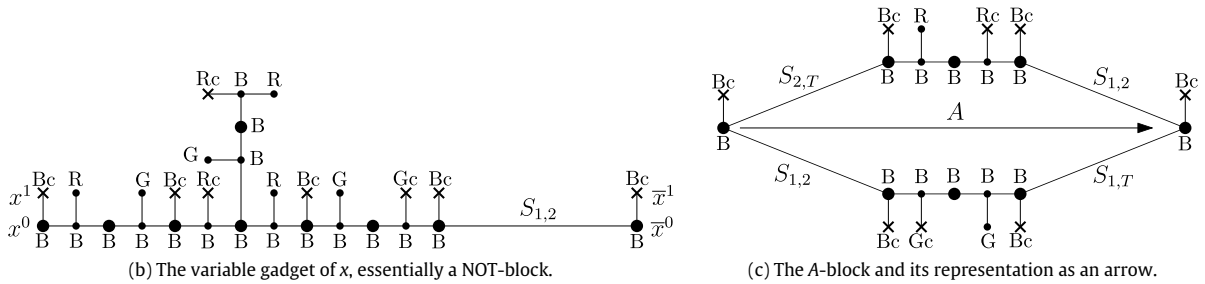


Fig. 9. The building blocks of  $G_{X,C}$ .

- The block  $S_{1,T}$  is a graph built from a 7-vertex black-coloured path with vertex set  $\{x_1, \dots, x_7\}$  where a BlackCross leaf is attached to vertices  $x_1$  and  $x_7$ , a RedDot leaf is attached to vertices  $x_2$  and  $x_6$ , and a RedCross leaf is attached to vertex  $x_4$ .
- The block  $S_{1,2}$  is a graph built from a 7-vertex black-coloured path with vertex set  $\{x_1, \dots, x_7\}$  where a BlackCross leaf is attached to vertices  $x_1$  and  $x_7$ , a GreenDot leaf is attached to vertices  $x_2$  and  $x_6$ , and a GreenCross leaf is attached to vertex  $x_4$ .
- The NOT-block is depicted in Fig. 9b.
- The A-block is depicted in Fig. 9c.

Illustrations of these blocks can be found in Fig. 9.

We now define gadgets for each variable of  $X$  and each clause of  $C$ . The graph  $G_{X,C}$  is formed by the set of all variable and clause gadgets.

- For a variable  $x \in X$ , the variable gadget of  $x$  consists of the four vertices  $x^0, x^1, \bar{x}^0$  and  $\bar{x}^1$ , coloured respectively BlackDot, BlackCross, BlackDot and BlackCross, joined by a NOT-block as described in Fig. 9b. The image of  $x^0$  and  $x^1$  in  $(T_{23}, c)$  correspond to the truth-value of the literal  $x$ . Similarly, the image of  $\bar{x}^0$  and  $\bar{x}^1$  correspond to the truth-value of the literal  $\bar{x}$ . For a literal  $l$ , we use the notation  $l^0$  (resp.  $l^1$ ) to describe either  $x^0$  (resp.  $x^1$ ) when  $l = x$  with  $x \in X$ , or  $\bar{x}^0$  (resp.  $\bar{x}^1$ ) when  $l = \bar{x}$  with  $x \in X$ .
- For each clause  $c = (l_1, l_2, l_3) \in C$ , there is a clause gadget of  $c$  (as drawn in Fig. 10) connecting vertices  $l_1^0, l_2^0$  and  $l_3^0$ .

We now show that  $G_{X,C} \rightarrow (T_{23}, c)$  if and only if  $(X, C)$  is satisfiable.

Assume first that there is a homomorphism  $h$  of  $G_{X,C}$  to  $(T_{23}, c)$ . We first prove some properties of  $h$ .

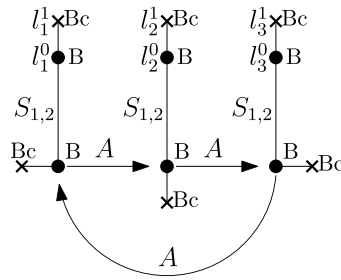


Fig. 10. Example of a clause gadget of clause  $(l_1, l_2, l_3)$ . The full details of the  $A$ -blocks and  $S_{1,2}$ -blocks are represented in Fig. 9.

**Claim 6.3.** *The homomorphism  $h$  satisfies the following properties.*

- (1) For each literal  $l$  of a variable of  $X$ , vertices  $l^0$  and  $l^1$  are mapped to the two vertices of one of the pairs  $T, F_1$  or  $F_2$ . The same holds for the extremities of the blocks  $S_{1,2}, S_{1,T}, S_{2,T}$  and  $A$ .
- (2) The two extremities of each block  $S_{1,2}$  are both mapped either to the vertices of  $T$ , or to vertices of  $F_1 \cup F_2$ .
- (3) The two extremities of each block  $S_{1,T}$  are both mapped either to the vertices of  $F_2$ , or to vertices of  $F_1 \cup T$ .
- (4) The two extremities of each block  $S_{2,T}$  are both mapped either to the vertices of  $F_1$ , or to vertices of  $F_2 \cup T$ .
- (5) For each variable  $x$  of  $X$ , exactly one of  $x^0$  and  $\bar{x}^0$  is mapped to a vertex of  $T$ , and the other is mapped to a vertex of  $F_1$  or  $F_2$ .
- (6) In any  $A$ -block, either some extremity is mapped to  $T$  (then the other extremity can be mapped to any of  $F_1, F_2$  or  $T$ ), or the left extremity is mapped to  $F_2$  and the right extremity, to  $F_1$ .

**Proof of claim.** (1) This is immediate since the only pairs in  $(T_{23}, c)$  consisting of two adjacent BlackDot and BlackCross vertices are the ones of  $T, F_1$  and  $F_2$ .

(2)–(4) We only prove (2), since the three proofs are not difficult and similar. By (1), the extremities of  $S_{1,2}$  are mapped to vertices of  $T \cup F_1 \cup F_2$ . If one extremity is mapped to  $T$ , the remainder of the mapping is forced and the claim follows. If one extremity is mapped to  $F_1 \cup F_2$ , one can easily complete it to a mapping where the other extremity is mapped to either  $F_1$  or  $F_2$ .

(5) By (1),  $x^0$  and  $\bar{x}^0$  must be mapped to a vertex of  $T \cup F_1 \cup F_2$ . Without loss of generality, we can assume that  $x^0$  corresponds to the left extremity of the NOT-block  $N_x$  connecting  $x^0$  and  $\bar{x}^0$ . First assume that  $x^0$  and  $\bar{x}^0$  are mapped to the vertex of  $T$  coloured BlackDot. Then, considering the vertices of  $N_x$  from left to right, the mapping is forced and the degree 3-vertex of  $N_x$  at distance 2 both of a RedDot and a RedCross vertex must be mapped to the vertex  $c$  of  $T_{23}$ . But then, continuing towards the right of  $N_x$ ,  $\bar{x}^0$  cannot be mapped to a vertex of  $T$ . Therefore, we may assume that both  $x^0$  and  $\bar{x}^0$  are mapped to the BlackCross vertices of  $F_1 \cup F_2$ . If  $x^0$  is mapped to the BlackCross vertex in  $F_1$ , then again going through  $N_x$  from left to right the mapping is forced; the central vertex of  $N_x$  must be mapped to a vertex of  $F_2$ , and  $\bar{x}^0$  must be mapped to a vertex of  $T$ , a contradiction. The same applied when  $x^0$  is mapped to the BlackCross vertex in  $F_2$ , completing the proof of (5).

(6) An  $A$ -block is composed of two parts: the upper part and the lower part. Observe that if the left extremity of an  $A$ -block is mapped to  $F_1$ , then using (2) and (4), the mapping of the upper part of the  $A$ -block is forced and the right extremity has to be mapped to  $T$ . Similarly, if the left extremity is mapped to  $F_2$ , by (2) and (3) the right extremity cannot be mapped to  $F_2$ . On the other hand, for all other combinations of mapping the extremities to  $T, F_1$  or  $F_2$  the mapping can be extended.  $\square$

We are ready to show how to construct the truth assignment  $A(h)$ . If  $h(l^0) \in T$  for some literal  $l$ , we let  $l$  be True and if  $h(l^0) \in F_1 \cup F_2$ , we let  $l$  be False. By Claim 6.3(5), this is a consistent truth assignment for  $X$ . For any clause  $c = (l_1, l_2, l_3)$ , in the clause gadget of  $c$ , we have three  $A$ -blocks forming a directed triangle. Hence, by Claim 6.3(6), there must be one of the three extremities of this triangle mapped to a vertex of  $T$ . Therefore, by Claim 6.3(2), at least one of the vertices  $l_1^0, l_2^0$  and  $l_3^0$  is mapped to  $T$ . This shows that  $A(h)$  satisfies the formula  $(X, C)$ .

Reciprocally, if there is a solution for  $(X, C)$ , one can build a homomorphism of  $G_{X,C}$  to  $(T_{23}, c)$  by mapping, for each literal  $l$ , the vertices  $l_0$  and  $l_1$  to one of the vertex pairs  $F_1, F_2$  and  $T$  of  $(T_{23}, c)$  corresponding to the truth value of  $l$  (if  $l$  is False, we may choose one of  $F_1$  and  $F_2$  arbitrarily). Then using Claim 6.3 one can easily complete this to a valid mapping.  $\square$

## 7. Conclusion

We have shown that the class of  $(H, c)$ -COLOURING problems has a very rich structure, since they fall into the classes of CSPs for which a dichotomy theorem would imply the truth of the Feder–Vardi Dichotomy Conjecture. Hence, we turned our attention to the class of  $H$ -TROPICAL-COLOURING problems, for which a dichotomy theorem might exist. Despite some initial results in this direction, we have not been able to exhibit such a dichotomy, and leave this as the major open problem in this paper.

Towards a solution to this problem, we propose a simpler question. All bipartite graphs  $H$  that we know with problem  $H$ -TROPICAL-COLOURING being NP-complete contain, as an induced subgraph, either an even cycle of length at least 6 (for



example cycles themselves or  $H_9$ ), or the graph  $G_1$ , that is, a claw with each edge subdivided twice (this is the case for  $T_{23}$ ). Hence, we ask the following. (A bipartite graph is *chordal* if it contains no induced cycle of length at least 6.)

**Question 7.1.** *Is it true that for any chordal bipartite graph  $H$  with no induced copy of  $G_1$ ,  $H$ -TROPICAL-COLOURING is polynomial-time solvable?*

Note that **Question 7.1** is not an attempt at giving an exact classification, since  $G_1$ -TROPICAL-COLOURING and  $C_{2k}$ -TROPICAL-COLOURING for  $k \leq 6$  are polynomial-time solvable.

Another interesting question would be to consider the restriction of  $H$ -TROPICAL-COLOURING to 2-tropical graphs. Recall that by **Remark 4.8(2)**, one can slightly modify the gadgets from **Theorem 4.4** and the colouring of the cycle, to obtain a 2-colouring  $c$  of  $C_{54}$  such that  $(C_{54}, c)$ -COLOURING is NP-complete.

Finally, we relate our work to the  $(H, h, Y)$ -FACTORIZING problem studied in [10] and mentioned in the introduction. Recall that  $(H, c)$ -COLOURING corresponds to  $(H, c, K_{|C|}^+)$ -FACTORIZING where  $K_{|C|}^+$  is the complete graph on  $|C|$  vertices with all loops, and with  $C$  the set of colours used by  $c$ . In [10], the authors studied  $(H, h, Y)$ -FACTORIZING when  $Y$  has no loops. Using reductions from NP-complete  $D$ -COLOURING problems where  $D$  is an oriented even cycle or an oriented tree, they proved that for any fixed graph  $Y$  which is not a path on at most four vertices, there is an even cycle  $C$  and a tree  $T$  such that  $(C, h_C, Y)$ -FACTORIZING and  $(T, h_T, Y)$ -FACTORIZING are NP-complete (for some suitable homomorphisms  $h_C$  and  $h_T$ ). Note that  $C$  and  $T$  here are fairly large. We can strengthen these results as follows. Consider our reduction of **Theorem 4.4** showing in particular that  $C_{48}$ -TROPICAL-COLOURING is NP-complete. As noted in **Remark 4.8(1)**, the given colouring  $c$  of  $C_{48}$  can easily be made a proper colouring by separating the red vertices into two classes, according to which part of the bipartition of  $C_{48}$  they belong to. Then, one can observe that  $c$  is in fact a homomorphism to a tree  $T_1$  obtained from a claw where one edge is subdivided once (the three vertices of degree 1 are coloured Blue, Black and Green, and the two other vertices are the two kinds of Red). Thus, for any graph  $Y$  containing this subdivided claw as a subgraph, we deduce that  $(C_{48}, c_{1|T_1}, Y)$ -FACTORIZING is NP-complete. We can use a similar approach for our result of **Theorem 6.2**, that  $T_{23}$ -TROPICAL-COLOURING is NP-complete. Note that the colouring  $c_2$  we give is in fact a homomorphism to a tree  $T_2$  which is obtained from a star with five branches by subdividing one edge once. Thus, for any graph  $Y$  containing  $T_2$  as a subgraph,  $(T_{23}, c_{2|T_2}, Y)$ -FACTORIZING is NP-complete. Of course we can apply this argument by replacing  $T_1$  and  $T_2$  by the underlying graph of any loop-free homomorphic image of  $(C_{48}, c_1)$  and  $(T_{23}, c_2)$ , respectively.

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