

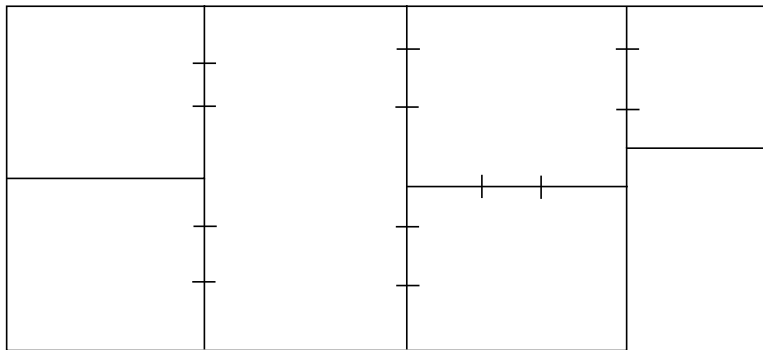
Combinatorial and algorithmic aspects of identifying codes in graphs

Florent Foucaud

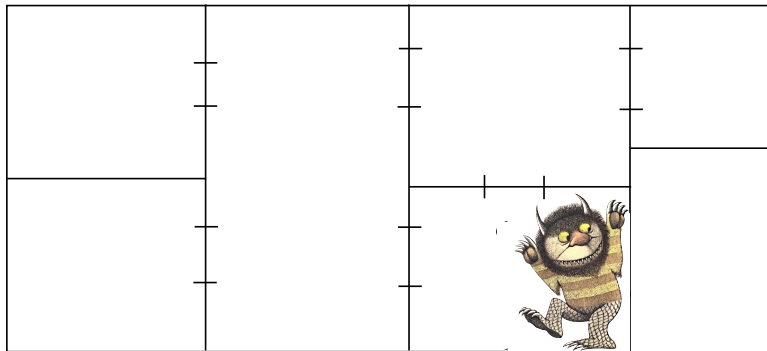
Bordeaux

December 10th, 2012

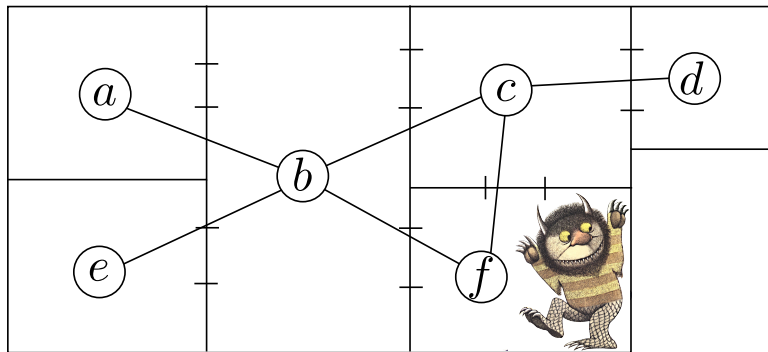
Identifying the rooms of a building



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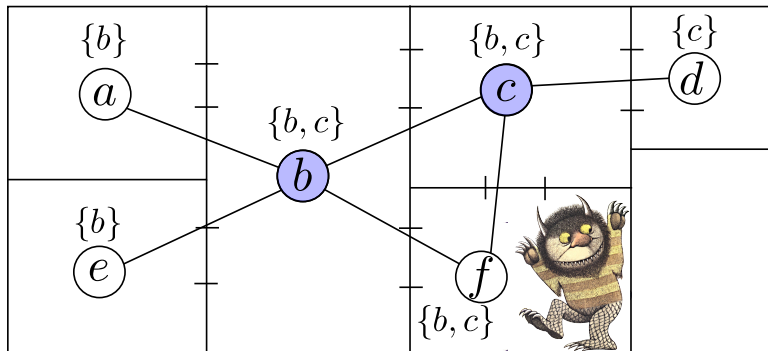


Identifying the rooms of a building



Graph $G = (V, E)$. V : vertices (rooms), $E \subseteq V \times V$: edges (doors)

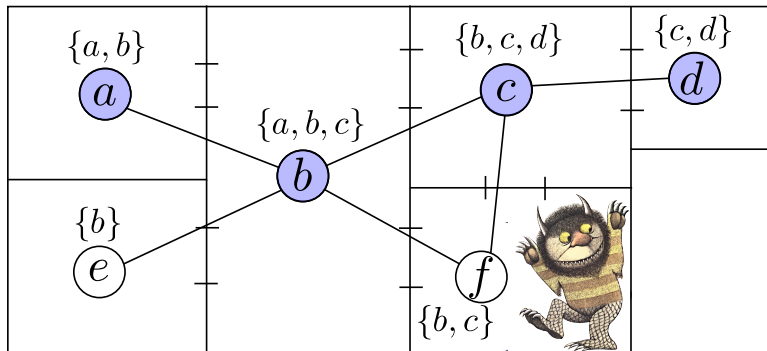
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Motion detector: detects intruder in its room or in adjacent rooms

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Identifying codes

G : undirected graph

$N[u]$: set of vertices v s.t. $d(u, v) \leq 1$

Definition - Identifying code (Karpovsky, Chakrabarty, Levitin, 1998)

Subset C of $V(G)$ such that:

- C is a **dominating set**: $\forall u \in V(G), N[u] \cap C \neq \emptyset$, and
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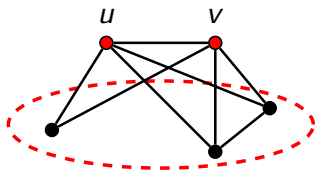
Goal: minimize number of detectors

$\gamma^{\text{ID}}(G)$: minimum size of an identifying code in G

Remark

Not all graphs have an identifying code!

Twins = pair u, v such that $N[u] = N[v]$.

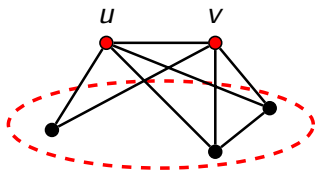


Identifiable graphs

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Proposition

A graph is **identifiable** if and only if it is **twin-free** (i.e. has no twins).

Bounds on $\gamma^{\text{ID}}(G)$

n : number of vertices

Theorem (Karpovsky, Chakrabarty, Levitin, 1998)

G identifiable graph on n vertices:

$$\lceil \log_2(n + 1) \rceil \leq \gamma^{\text{ID}}(G)$$

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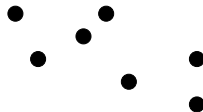
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$\gamma^{\text{ID}}(G) = n \Leftrightarrow G$ has no edges



Examples

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$(N[u] \oplus N[v]) \cap C \neq \emptyset \rightarrow$ hitting symmetric differences

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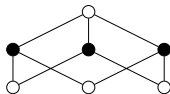
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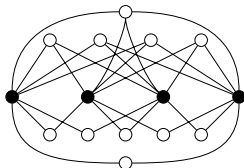
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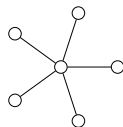
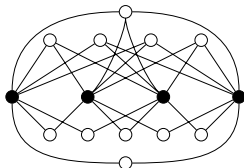
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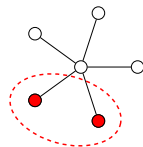
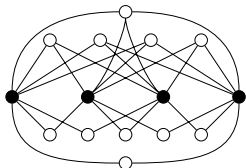
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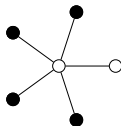
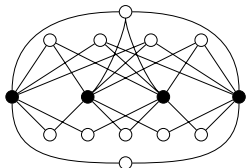
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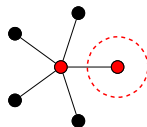
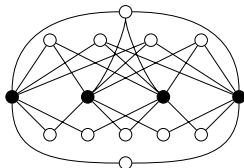
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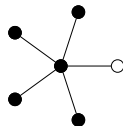
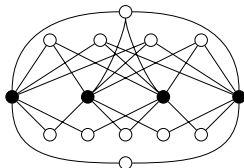
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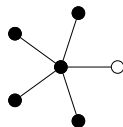
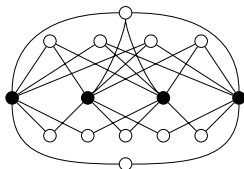
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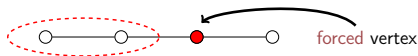
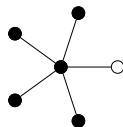
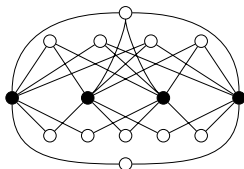
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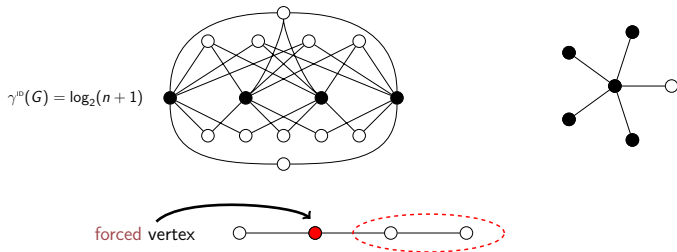
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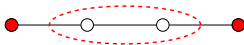
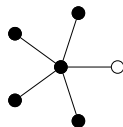
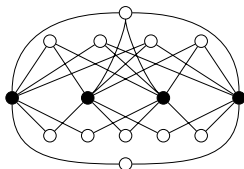
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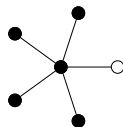
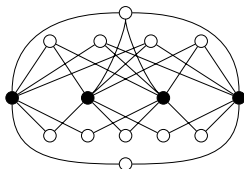
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A question

Theorem (Bertrand, 2005 / Gravier, Moncel, 2007 / Skaggs, 2007)

G identifiable graph on n vertices with at least one edge:

$$\gamma^{\text{ID}}(G) \leq n - 1$$

Question

What are the graphs G with n vertices and $\gamma^{\text{ID}}(G) = n - 1$?

Part 1 **Graphs with large identifying code number**

Part 2
Identifying code number and maximum degree

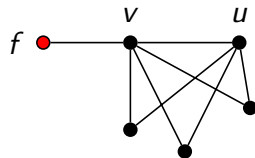
Part 3
Algorithmic hardness of the identifying code problem

Forced vertices

u, v such that $N[v] \ominus N[u] = \{f\}$:

f belongs to **any identifying code**

→ f **forced** by u, v .

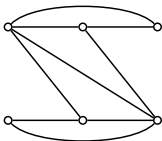


Graphs with many forced vertices

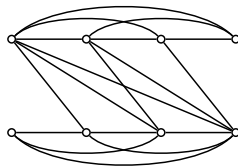
Special path powers: $A_k = P_{2k}^{k-1}$



$$A_2 = P_4$$



$$A_3 = P_6^2$$



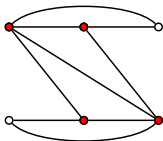
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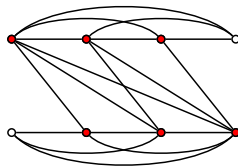
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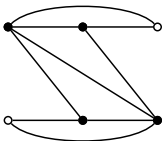
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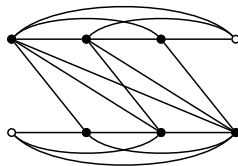
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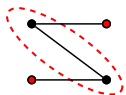
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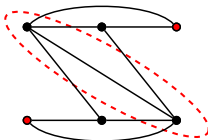
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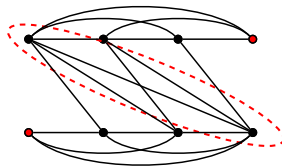
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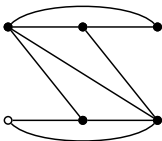
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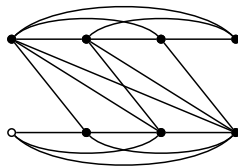
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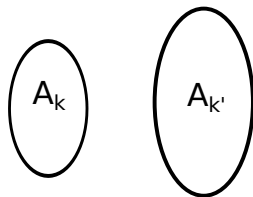


$A_4 = P_8^3$

Proposition

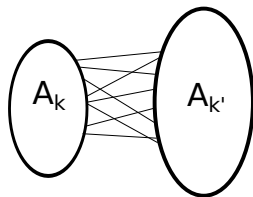
$$\gamma^{\text{ID}}(A_k) = n - 1$$

Constructions using joins



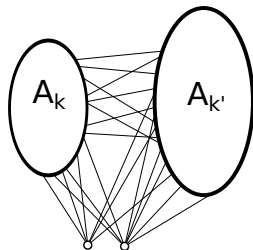
Two graphs A_k and $A_{k'}$

Constructions using joins



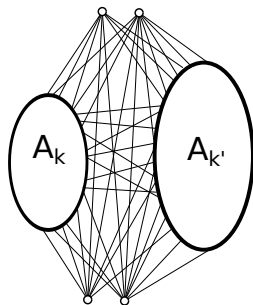
Join: add all edges between them

Constructions using joins



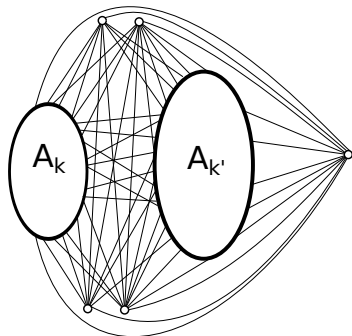
Join the new graph to two non-adjacent vertices ($\overline{K_2}$)

Constructions using joins



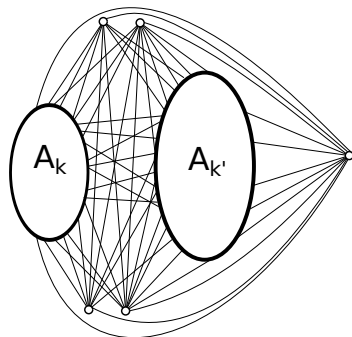
Join the new graph to two non-adjacent vertices, again

Constructions using joins



Finally, add a **universal vertex**

Constructions using joins



Finally, add a **universal vertex**

Proposition

At each step, the constructed graph has $\gamma^{\text{ID}} = n - 1$

A characterization

- (1) stars
- (2) $A_k = P_{2k}^{k-1}$
- (3) joins between 0 or more members of (2) and 0 or more copies of $\overline{K_2}$
- (4) (2) or (3) with a universal vertex

Theorem (F., Guerrini, Kovše, Naserasr, Parreau, Valicov, 2011)

G connected identifiable graph, n vertices:

$$\gamma^{\text{ID}}(G) = n - 1 \Leftrightarrow G \in (1), (2), (3) \text{ or } (4)$$

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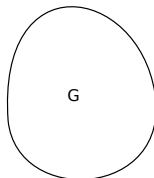
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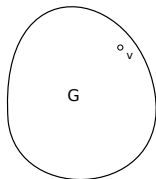
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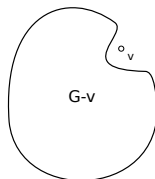
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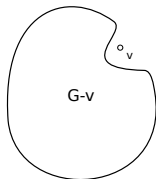
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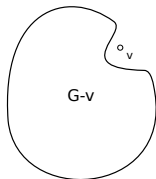
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- Put v back \Rightarrow **contradiction:** no counterexample exists!

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Observation

All these graphs have maximum degree $n - 1$ or $n - 2$

Part 1

Graphs with large identifying code number

Part 2

Identifying code number and maximum degree

Part 3

Algorithmic hardness of the identifying code problem

A lower bound using the maximum degree

maximum degree of G : maximum number of neighbours of a vertex in G

Theorem (Karpovsky, Chakrabarty, Levitin, 1998)

G identifiable graph, n vertices, maximum degree Δ :

$$\frac{2n}{\Delta+2} \leq \gamma^{\text{ID}}(G)$$

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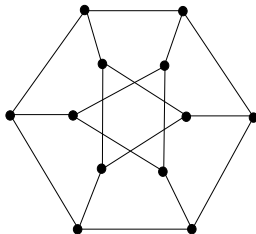
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Equality if and only if G can be constructed as follows:

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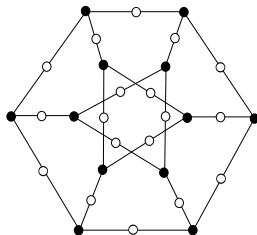
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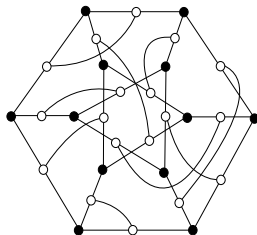
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Theorem (F., Klasing, Kosowski, 2009)

Equality if and only if G can be constructed as follows:

- Take Δ -regular graph H
- Subdivide each edge once
- Possibly add some edges



The influence of the maximum degree

Question

What is a good **upper bound** on γ^{ID} using the maximum degree?

The influence of the maximum degree

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What is a good **upper bound** on γ^{ID} using the maximum degree?

Proposition

There exist graphs with n vertices, max. degree Δ and $\gamma^{\text{ID}}(G) = n - \frac{n}{\Delta}$.

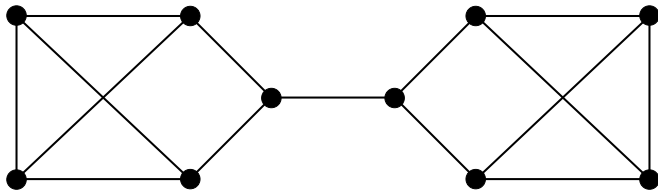
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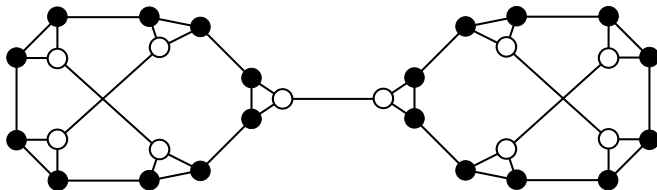
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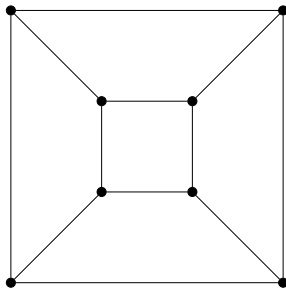
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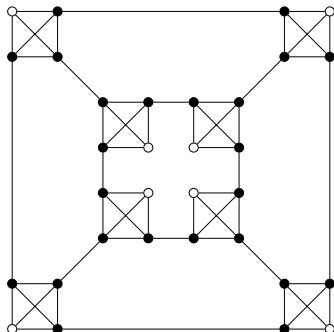
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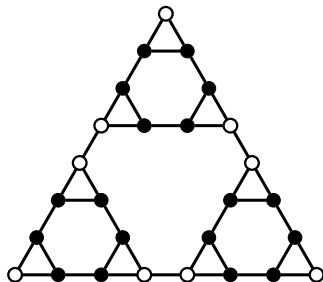
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Also: Sierpiński graphs

(Gravier, Kovše, Mollard,
Moncel, Parreau, 2011)



A conjecture

Conjecture (F., Klasing, Kosowski, Raspaud, 2009)

G connected identifiable graph, n vertices, max. degree Δ . Then

$$\gamma^{\text{ID}}(G) \leq n - \frac{n}{\Delta} + c \text{ for some constant } c$$

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Question

Can we prove that $\gamma^{\text{ID}}(G) \leq n - \frac{n}{\Theta(\Delta)}$?

Triangle-free graphs

Theorem (F., Klasing, Kosowski, Raspaud, 2009)

G identifiable triangle-free graph, n vertices, max. degree Δ . Then

$$\gamma^{\text{ID}}(G) \leq n - \frac{n}{\Delta + \frac{3\Delta}{\ln \Delta - 1}} = n - \frac{n}{\Delta(1 + o_{\Delta}(1))}$$

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Proof idea: Constructive.

Triangle-free graphs have **large independent sets**

(see e.g. Shearer: $\alpha(G) \geq \frac{\ln \Delta}{\Delta} n$)

→ Locally modify such an independent set:

its complement is a “small” id. code.

Triangle-free graphs

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Remark

Same technique applies to families of triangle-free graphs with large independent sets.

→ bipartite graphs: $\alpha(G) \geq \frac{n}{2} \Rightarrow \gamma^{\text{ID}}(G) \leq n - \frac{n}{\Delta+9}$

The probabilistic method

- 1 Define a suitable **probability space**
- 2 Select some object from this space using a **random process**
→ select random set
- 3 Prove that with **nonzero probability**, certain "good" conditions hold
→ selected set is small id. code
- 4 Conclusion: there **always exists** a "good" object
→ small id. code

Upper bounds for $\gamma^{\text{ID}}(G)$

Theorem (F., Perarnau, 2011)

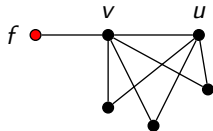
G identifiable graph, n vertices, maximum degree Δ , no isolated vertices:

$$\gamma^{\text{ID}}(G) \leq n - \frac{n \cdot NF(G)^2}{105\Delta}$$

Notation

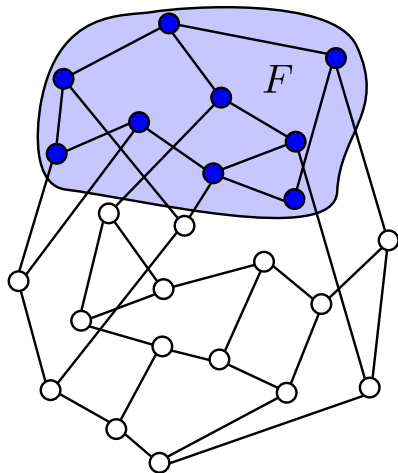
$NF(G)$: proportion of **non** forced vertices of G

$$NF(G) = \frac{\# \text{non forced vertices in } G}{\# \text{vertices in } G}$$



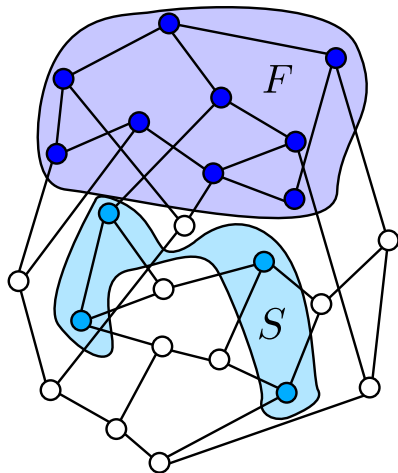
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1) F : forced vertices. Select “big” random set S from $V(G) \setminus F$



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for each vertex v from $V(G) \setminus F$
 $\rightarrow v \in S$ with probability p .

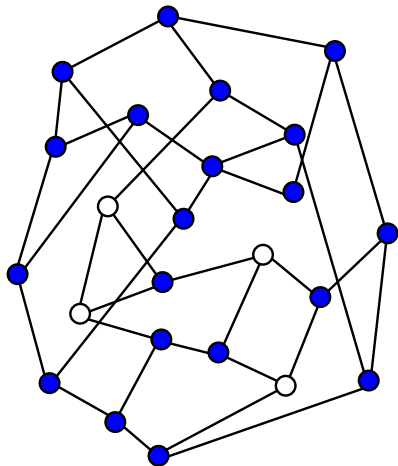
Want: $p = \Theta\left(\frac{1}{\Delta}\right)$

$$\mathbb{E}(|S|) = p \cdot nNF(G) = \frac{nNF(G)}{\Theta(\Delta)}$$

Proof

1) F : forced vertices. Select “big” random set S from $V(G) \setminus F$

Goal: $\mathcal{C} = V(G) \setminus S$ small identifying code



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→ if none occurs, $V(G) \setminus S$ is an identifying code

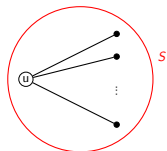
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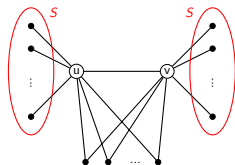
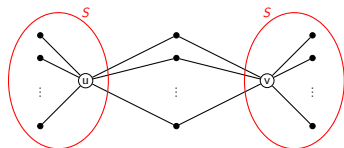
2) Use Lovász Local Lemma: define **bad events**

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each vertex u

→ 1 event for **domination**



each pair u, v at dist. at most 2

→ 1 event for **separation**

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⇒ exists S s.t. \mathcal{C} is an id. code → $\mathbb{E}(|\mathcal{C}|) = n - p \cdot nNF(G)$

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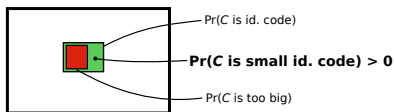
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Problem: maybe $S \approx \emptyset$ and $\mathcal{C} \approx V(G)$!!!

5) **Solution:** Chernoff bound → w.h.p. $|S|$ is **close to expected size**



Bounding the number of forced vertices

$NF(G)$: proportion of **non** forced vertices of G

Theorem (F., Perarnau, 2011)

G identifiable graph on n vertices having maximum degree Δ and no isolated vertices:

$$\gamma^{\text{ID}}(G) \leq n - \frac{n \cdot NF(G)^2}{105\Delta}$$

Question

What can be said about $NF(G)$?

Bounding the number of forced vertices

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$$G \text{ regular} \Rightarrow NF(G) = 1$$

Corollary

$$G \text{ regular: } \gamma^{\text{ID}}(G) \leq n - \frac{n}{105\Delta}$$

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Lemma (Bertrand, 2005)

G : identifiable graph having no isolated vertices. Let x be a vertex of G . There exists a **non forced vertex** in $N[x]$.

→ Set of non forced vertices is a **dominating set**.

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$$\frac{1}{\Delta+1} \leq NF(G) \leq 1 \text{ and } \gamma^{\text{ID}}(G) \leq n - \frac{n}{105(\Delta+1)^3}$$

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clique number of G : max. size of a complete subgraph in G

Proposition (F., Perarnau, 2011)

Let G be a graph of **clique number** at most k . There exists a (huge) function c such that:

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$$\gamma^{\text{ID}}(G) \leq n - \frac{n}{105c(k)^2\Delta} = n - \frac{n}{\Theta(\Delta)}$$

Summary

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G connected identifiable graph, n vertices, max. degree Δ . Then

$$\gamma^{\text{ID}}(G) \leq n - \frac{n}{\Delta} + c \text{ for some constant } c$$

Theorem

$$\text{in general: } \gamma^{\text{ID}}(G) \leq n - \frac{n}{\Theta(\Delta^3)}$$

$$\text{triangle-free: } \gamma^{\text{ID}}(G) \leq n - \frac{n}{\Delta(1+o_{\Delta}(1))}$$

$$\text{bipartite: } \gamma^{\text{ID}}(G) \leq n - \frac{n}{\Delta+9}$$

$$\text{regular: } \gamma^{\text{ID}}(G) \leq n - \frac{n}{105\Delta}$$

$$\text{clique number } k: n - \frac{n}{105c(k)^2\Delta}$$

Part 1

Graphs with large identifying code number

Part 2

Identifying code number and maximum degree

Part 3

Algorithmic hardness of the identifying code problem

Computational problems

Definition - Computational problem

- Set of **inputs**
- Given an input, **task** to be solved by an algorithm

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Polynomial-time for:

- trees (Auger, 2010)
- bounded treewidth (Moncel, 2005)

NP-complete for:

- planar subcubic graphs (Auger et al. 2010)
- planar bipartite unit disk graphs (Müller, Sereni, 2009)
- etc.

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$O(\log(n))$ -approximation algorithm (n : order of input graph)

No $o(\log(n))$ -approximation algorithm, unless $P = NP$
(Berger-Wolf et al. 2006 / Suomela, 2007)

Question

What is the complexity of IDCODE and MIN IDCODE for various standard graph classes?

→ restriction of the input set

Definition - Reduction

Two computational problems A, B
Polynomial-time computable function $r : A \rightarrow B$ such that:

B efficiently solvable $\Rightarrow A$ efficiently solvable.

Polynomial-time reductions

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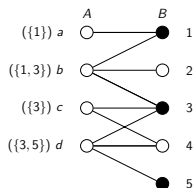
Proposition

If A is hard, then B is hard.

Discriminating code

Definition - Discriminating code of a bipartite graph $G(A, B)$

Subset $C \subseteq B$ which dominates and separates vertices of A .

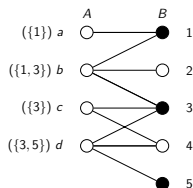


example: $C = \{1, 3, 5\}$

Discriminating code

Definition - Discriminating code of a bipartite graph $G(A, B)$

Subset $C \subseteq B$ which dominates and separates vertices of A .



example: $C = \{1, 3, 5\}$

Definition - MIN DISCR CODE

INPUT: bipartite graph G

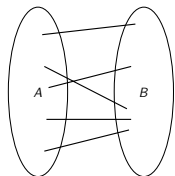
TASK: find smallest possible discriminating code of G

No $o(\log(n))$ -approximation algorithm, unless $P = NP$

(De Bontridder et al. 2003)

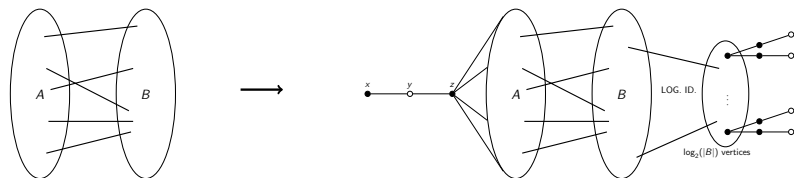
New and non-approximability reductions

Reduction: MIN DISCR CODE to MIN IDCODE for bipartite graphs.



New and non-approximability reductions

Reduction: MIN DISCR CODE to MIN IDCODE for bipartite graphs.

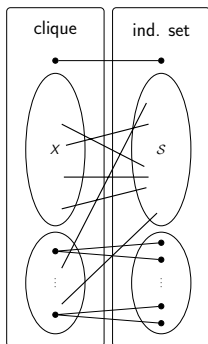


Theorem (F., 2012)

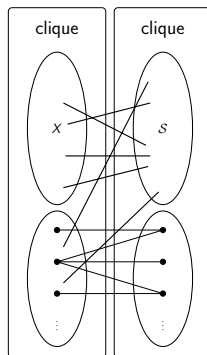
- $G(A, B)$ has discr. code of size k if and only if G' has an identifying code of size $k + 3\lceil \log_2(|B| + 1) \rceil + 2$. Constructive.
- If MIN IDCODE has an α -approximation algorithm, then MIN DISCR. CODE has a 4α -approximation algorithm.

New non-approximability reductions

Similar reductions for split graphs and co-bipartite graphs.



split graphs



co-bipartite graphs

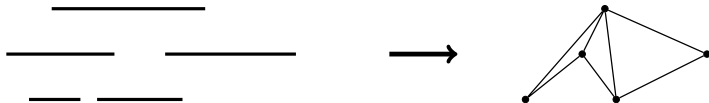
Theorem (F., 2012)

It is NP-hard to approximate MIN IDCODE within $o(\log(n))$
→ even for **split** graphs and for **co-bipartite** graphs.

Interval graphs

Definition - Interval graph

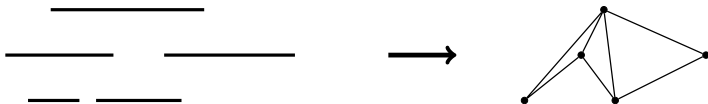
Intersection graph of intervals of the real line.



Interval graphs

Definition - Interval graph

Intersection graph of intervals of the real line.



Remark

Many problems are **efficiently solvable** for interval graphs.

Example: DOMINATING SET

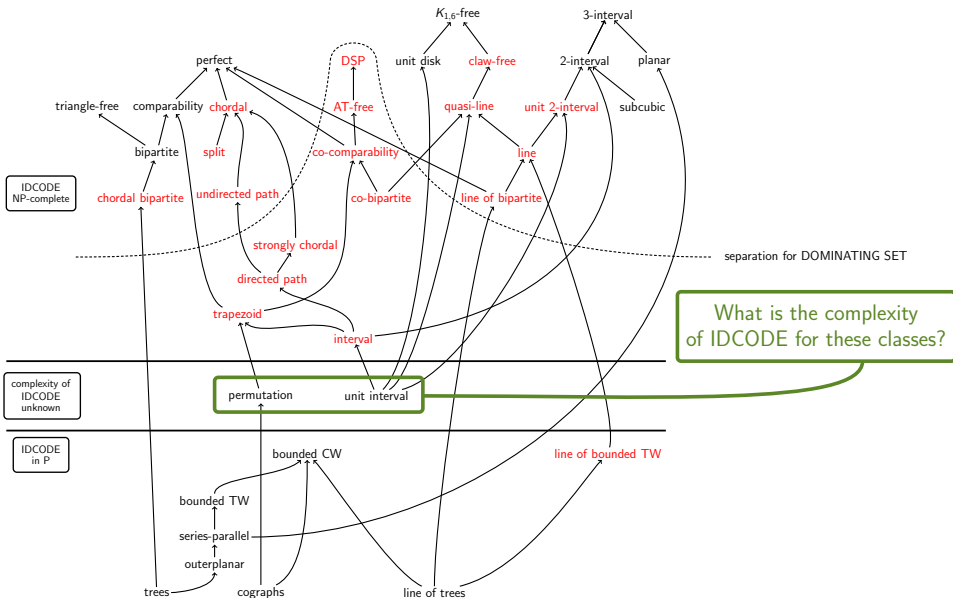
Theorem (F., Kosowski, Mertzios, Naserasr, Parreau, Valicov, 2012)

IDCODE is NP-complete for interval graphs. Reduction from 3-DIMENSIONAL MATCHING.

Main idea:

an interval can separate two pairs of intervals that are **far away** without affecting what lies in between.

Complexity of IDCODE for various graph classes



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What are tight bounds on γ^{ID} for **specific graph classes**?

→ planar graphs, special chordal graphs, permutation graphs,...

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→ trees, subcubic graphs, line graphs,...

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What is the complexity of (MIN) IDCODE for **specific graph classes**?

→ unit interval graphs,...

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Other perspectives:

- **Parameterized complexity** of IDCODE

- **Fractional** identifying codes