

# Near-collisions and their Impact on Biometric Security

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SECRYPT  
July 12, 2022



# Table of Contents

1 Biometric Terminology

2 Database Partitioning

3 Security Bound

4 Conclusion

# Biometric Terminology

# Biometric System

## Biometric data:

- Biological or physical characteristic: fingerprint, DNA, iris, ...
- The collected data are in a metric space.

## Enrollment:

- Provide a biometric data, which will be altered and used as a reference.
- Potentially provide a second factor (e.g. password, token, ...).

## Authentication:

- Provide a fresh biometric data and an optional a second factor.
- Comparison with the reference data.
- If the difference is smaller than a threshold  $\epsilon$ , the authentication is a success.

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# Feature and Template

## Feature:

- A feature is a characteristic information of the biometric data.
- Denoted by  $F = E(I)$ , where  $E$  corresponds to the extraction.

Example: fingerprint minutiae, ...

## Template:

- Altered (protected) version of the feature.
- Denoted by  $T = \mathcal{T}(P, F) \in \mathbb{F}_2^n$ , where  $P$  is a token and  $F$  a feature.

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# Hypothesis

In this framework, we suppose that:

- Templates are uniformly distributed in  $\mathbb{F}_2^n$ .
- There exists a reasonable attack for impersonate one user but unreasonable on a whole database.

# Problematic

## Notations

$D_1$  : Leaked database.

$D_2$  : Another database.

### Goal:

Find  $D_2$  such that an attacker can impersonate users of  $D_1$ .

**If the following inequality is fulfilled:**

$$|D_2| \leq |D_1|$$

# Database Partitioning in Theory

# Hierarchical Agglomerative Clustering (HAC)

## Definition (Hierarchical Agglomerative Clustering (HAC))

*This algorithm takes as input  $D$  a template database and  $s$  an integer and returns  $Cls$  a partition of  $D$  such that  $\forall a, b \in C_i, \max(d_H(a, b)) \leq s$ .*

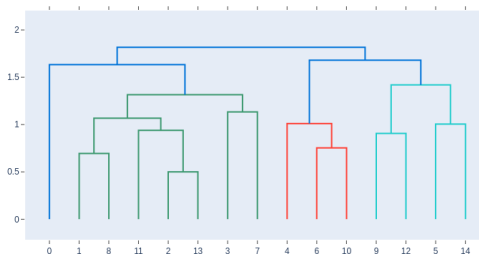


Figure: HAC example.

# Master Template

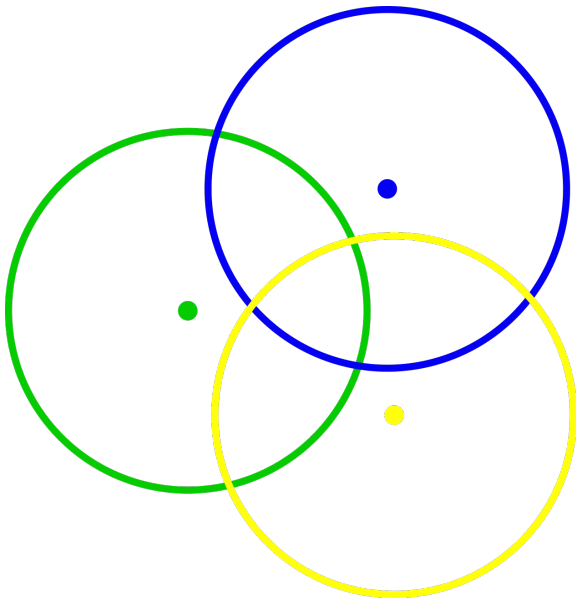
## Definition ( $\epsilon$ -master-template or $\epsilon$ -MT)

*Let  $(\Omega, d)$  be the template space and  $D$  a template database. A template  $t \in \Omega$  is an  $\epsilon$ -master-template if  $\forall t' \in D, d(t, t') \leq \epsilon$ .*

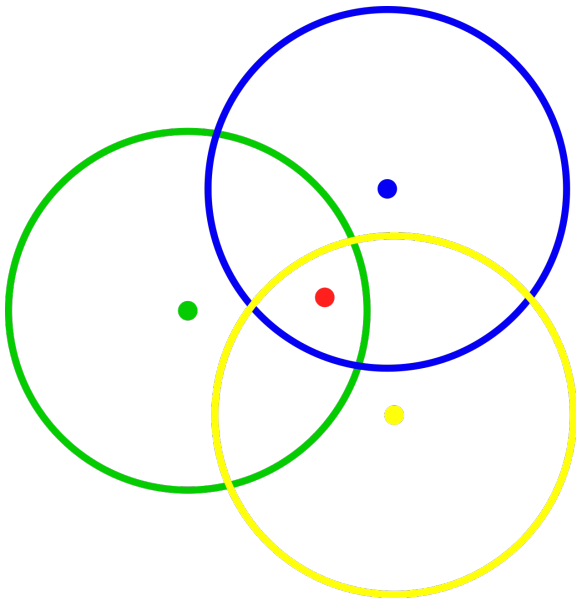
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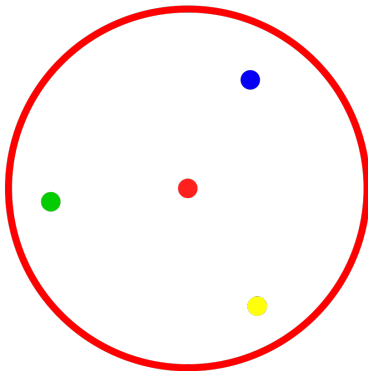


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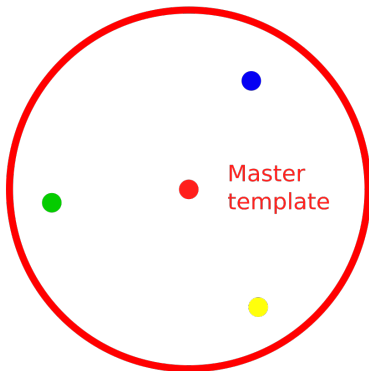




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# Database Partitioning Algorithm

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**Algorithm 1:** Database partitioning algorithm

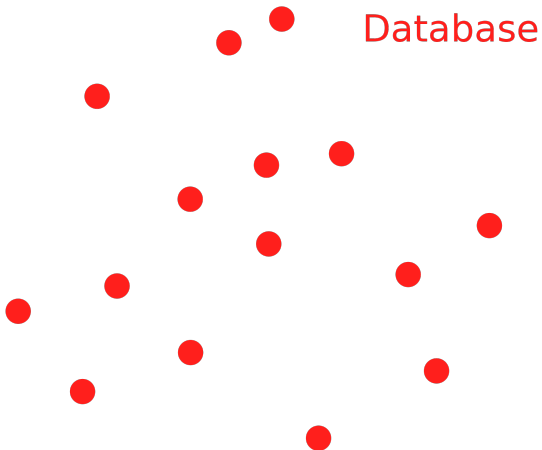
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**Data:**  $D, \epsilon$ **Result:** MTS

```
1 Set  $s$  to  $2\epsilon$ .
2 Set MTS to [ ].
3 while  $D \neq \emptyset$  do
4   | Compute cluster  $Cls$  using  $D$  and  $s$ .
5   | foreach cluster  $c$  in  $Cls$  do
6     | Search the cover template  $t$  for  $c$ .
7     | if a cover template  $t$  is found for  $c \in C$  then
8       | | Set  $D$  to  $D \setminus c$  and add  $t$  to MTS.
9       | end
10    | Set  $s$  to  $s - 1$ .
11  | end
12 end
13 return MTS.
```

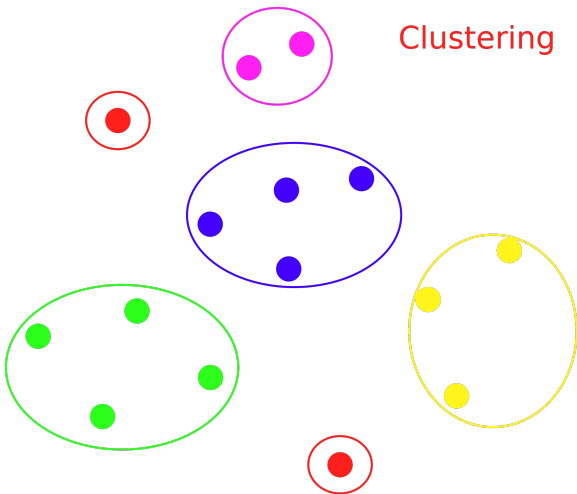
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# Procedure Illustration

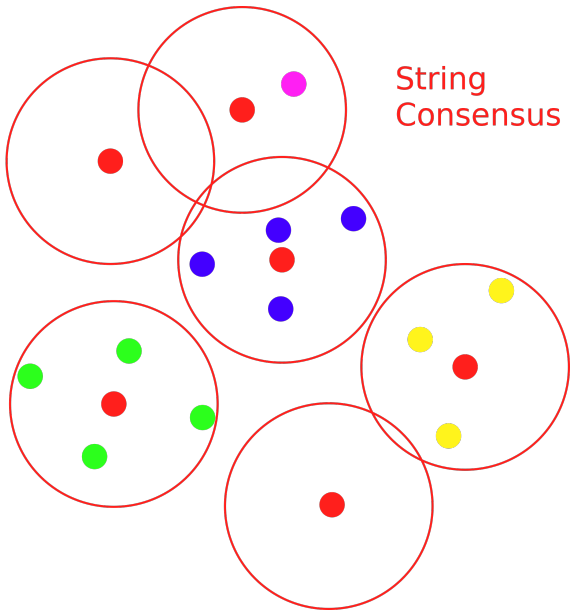


# Procedure Illustration

Clustering

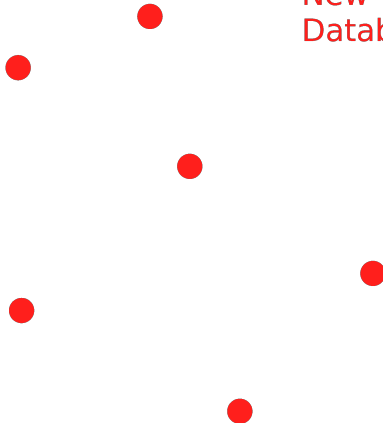


# Procedure Illustration



# Procedure Illustration

New Database



# Database Partitioning in Practice



# Closest String Problem

## Definition (Closest-String Problem)

Given  $S = \{s_1, s_2, \dots, s_m\}$  a set of strings with length  $n$ , find a center string  $t$  of length  $m$  minimizing  $d$  such that for every string  $s$  in  $S$ ,  $d_H(s, t) \leq d$ .

## Definition (Modified Closest-String Problem)

Given  $S = \{s_1, s_2, \dots, s_m\}$  a set of strings with length  $n$  and  $d$  a distance, find a center string  $t$  of length  $m$  such that for every string  $s$  in  $S$ ,  $d_H(s, t) \leq d$ .

## Theorem (MCSP is NP-hard)

The modified closest-string problem is *NP*-hard.

**How to solve MCSP problem ?**

# Formulating an IP

Solve the following IP (Integer Program) with  $k$  the number of targeted clients and  $v_i$  their templates:

$$\begin{cases} d_H(p, v_1) \leq \epsilon \\ \vdots \\ d_H(p, v_k) \leq \epsilon \end{cases}$$

# System Reduction Theorem

## Theorem (System Reduction)

For a given template database  $D$  and for a given  $v \in D$ , consider  $L = \{p \in \mathbb{F}_2^n \mid AN \leq \epsilon - d(v)\}$  with  $N = n_v^T$ ,  $\epsilon = (\epsilon, \dots, \epsilon)^T$ ,  $n_{v,i}$  denotes  $d_{K_i}(p, v)$ ,  $n_v^T$  denotes the parameters vector  $(n_{v,1}, \dots, n_{v,|I|})$  and  $A = (a_{i,j})$  a matrix of size  $|I| \times |D|$  whose the  $(i,j)^{\text{th}}$  element is

$$a_{i,j} = \begin{cases} 1 & \text{if } d_{K_j}(v_1, v_i) = 0 \\ -1 & \text{if } d_{K_j}(v_1, v_i) = |K_j| \end{cases}$$

Then,  $L = \mathcal{C}$  the  $\epsilon$ -cover-template-set for  $D$ .

# SANN

The Simulated ANNealing (SANN) is an optimization algorithm which relies on the following parameters:

- *Space:*

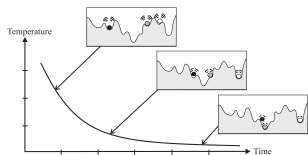
$$\mathcal{N} = \prod_{k=1}^{|I|} \{0, \dots, \min(\epsilon, |K_k|)\}$$

- *Energy:*

$$E(N) = \sum_{i=1}^{|I|} f((\epsilon - d(v) - AN)_i)$$

with  $f(x) = \min(0, x)$ .

- *Cooling Schedule:* Linear decreasing temperature.
- *Proposal distribution:* The neighbors set.
- *Termination:* Reaches the maximum iteration number, or if a solution is found.



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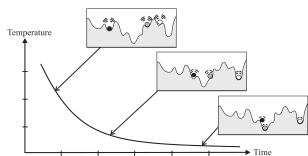
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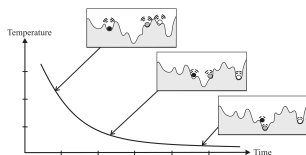
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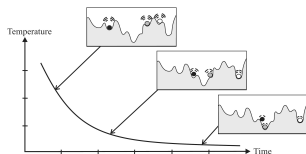
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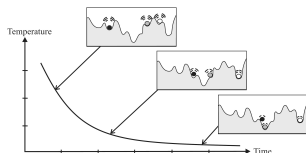
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# Performance

$n$	$\epsilon$	#clients	Time (ms)	$n$	$\epsilon$	#clients	Time (ms)	$n$	$\epsilon$	#clients	Time (ms)	
20	10	50	1592	70	15	200	24949	70	10	90	11087	
30			2428				20978				130	18330
40			3887				29089				170	20887

Figure: IP approach performance.

$n$	$\epsilon$	#clients	Error in %	Time (ms)	$n$	$\epsilon$	#clients	Error in %	Time (ms)	$n$	$\epsilon$	#clients	Error in %	Time (ms)
20	10	50	0.64	17	70	15	200	0.00	36	70	10	90	0.14	12
30			0.00	1				0.00	36				0.00	22
40			0.05	1				0.00	40				0.00	31

Figure: Stochastic approach performance.

# Approach Comparisons

### IP approach

Strengths:

- No error possible.
- Easy to set up.

Weaknesses:

- Slow.

### Stochastic approach

Strengths:

- Fast.

Weaknesses:

- Could miss a master template.
- Hard to set up.

# Global Performance

$n$	$\epsilon$	#clients	#clust	#clust(G)	Efficiency	Time (ms)	Time G (ms)
20			2.700	35.433	×13.12	8 415.270	10.714
30	10	50	8.709	48.977	× 5.70	8 775.802	18.940
40			18.087	49.986	× 2.77	6 417.596	23.762
70	5	200	200.000	200.000	× 1.00	43.969	449.166
	15		90.000	200.000	× 2.22	47 016.050	337.082
	25		22.109	198.982	× 9.00	222 386.614	346.420
50	10	90	89.67	90	×1.00	136.572	137.186
		130	129.30	130		428.885	251.221
		170	168.79	170		531.363	434.727

$$\text{Efficiency} = \frac{\#clust(G)}{\#clust}$$

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# Security Bound

# Near Collision

## Definition (Near collision)

*Let  $(\Omega, d)$  be the template space and a threshold  $\epsilon$ . There exists a near-collision if  $\exists a, b \in \Omega \mid d(a, b) \leq \epsilon$ .*

# You said gain?

## Definition (Gain)

*The gain of the attacker is  $G = |D_1| - |D_2|$  with  $D_1$  the leaked database and  $D_2$  the construct database.*



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How can we maximise the gain?

- Templates should be as close as possible to each other.

How can we minimise the gain?

- Templates should be as far apart as possible.

The number of near collisions is a good indicator of the expected gain.

# 0 Gain

**How to ensure that the attacker gain is 0?**

# Birthday Problem

To prevent near collisions, with  $n$  the size of a template, the number  $k$  of templates which give a collision with a probability of 50% is

$$\approx 2^{n/2} \left( \sum_{i=0}^{\epsilon} \binom{n}{i} \right)^{-1/2}$$

# Security Threshold

$n$	$\epsilon$	$\log_2 k$ with 50% near collision
128	12	38
	25	20
256	25	72
	51	38
512	51	139
	102	74
1024	102	276
	204	146

# Conclusion

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## Work done:

- Two solutions for the Near String Problem.
- Method to find a second database ( $D_2$ ) that the attacker could attack to impersonate all users of a leaked database ( $D_1$ ) with the constraint that  $|D_2| \leq |D_1|$ .
- Security bound over the size of a biometric database.

## Future work:

- Improving the SANN based method.
- Improving the IP based method.
- Exploring other approaches.

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# Question time

$$E = m \times C^2$$

$$\text{Energy} = \text{milk} \times \text{Coffee}^2$$

Any Questions ?