Card-Based ZKP Protocols for Takuzu and Juosan

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– Abstract -7

Takuzu and Juosan are logical Nikoli games in the spirit of Sudoku. In Takuzu, a grid must be filled with 0's and 1's under specific constraints. In Juosan, the grid must be filled with vertical 9 and horizontal dashes with specific constraints. We give physical algorithms using cards to realize 10 zero-knowledge proofs for those games. The goal is to allow a player to show that he/she has the 11 solution without revealing it. Previous work on Takuzu showed a protocol with multiple instances 12 needed. We propose two improvements: only one instance needed and a soundness proof. We also 13 propose a similar proof for Juosan game. 14

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1 Introduction 26

James Bond and Q decide to spend most of their holidays on the Spiaggia Praia beach 27 (located at Isola di Favignana, Sicily, Italy). Before swimming in the sea, they like to play 28



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with logical games. James Bond is a specialist of *Takuzu*. Takuzu is a puzzle invented by Frank Coussement and Peter De Schepper in 2009¹. It was also called *Binero*, *Bineiro*, *Binary Puzzle*, *Brain Snacks* or *Zernero*. Figure 1 contains a simple Takuzu grid and its solution. Q is an expert of *Juosan*, which was published by Nikoli². Figure 2 contains a Juosan grid and its solution.

Each one proposes his favorite game to the other as a challenge. Both are competitive, and each challenge ends to be so hard that the other cannot solve it. James Bond immediately supposes that something is wrong and asks Q a proof that the grid has a solution. Of course, Q thinks the same way about Bond's challenge. Since they are both suspicious, they want to prove that there is a solution without giving any information about the solution.

In cryptography, the process, which allows a party to prove that it has a data without leaking any information on this data, is called Zero-Knowledge Proof (ZKP).

More formally, a ZKP is a protocol which enables a prover P to convince that it has a solution s of a problem to a verifier V. This proof cannot leak any information on s. The protocol must observe three properties.

44 **Completeness:** If P knows s then it can convince V.

 \bullet **Soundness:** If P does not know s, it can convince V with only a negligible probability.

Zero-Knowledge: V learns nothing about s. This can be formalized by showing that
 the outputs of a simulator and outputs of the real protocol follow the same probability
 distribution.

The concept of interactive ZKP was introduced by Goldwasser et al. [12]. Then it was shown that for any NP complete problem there exists an interactive ZKP protocol [11]. There is also an extension showing that every provable statement can be proved in zeroknowledge [2].

There exist protocols where the prover and the verifier do not need to interact. Such protocols are called non-interactive ZKP [4]. For a complete background on ZKP's, see [18]. Usually ZKP protocols are executed by computers, yet, our aim is to design a solution for Bond and Q's dilemma using physical objects such as cards, since on the Spiaggia Praia beach they do not want to use their computers. We first recall the rules of these two games before presenting our contributions.

59 Takuzu's Rules:

⁶⁰ The goal of Takuzu is to fill a rectangular grid of even size with 0's and 1's. An initial ⁶¹ Takuzu grid already contains a few filled cases. A grid is solved when it is full (*i.e.*, no ⁶² empty cases) and respects the following constraints.

⁶³ 1. Equality Rule: Each row/column contains exactly the same number of 1's and 0's.

64 2. Uniqueness Rule: Each row (column) is unique among all rows (columns).

Adjacent Rule: In each row and each column there can be no more than two same
 numbers adjacent to each other; for example 110010 is possible, but 110001 is impossible.

⁶⁷ The problem of solving a Takuzu grid was proven to be NP complete in [3, 34].

¹ https://en.wikipedia.org/wiki/Takuzu

² http://www.nikoli.co.jp/en/puzzles/juosan.html

							0	0	1	1	0	1	0	1	0
	0	0			1			1	0	0	1	0	1	0	1
	0				1		0	1	0	0	1	0	1	1	0
		1						0	1	1	0	1	0	0	1
0	0		1			1		0	0	1	1	0	1	1	0
				1				1	0	0	1	1	0	1	0
1	1				0		1	1	1	0	0	1	0	0	1
	1						1	0	1	1	0	0	1	0	1

Figure 1 Example of a 8×8 Takuzu challenge, and its solution. We can verify that each row and column is unique, contains the same number of 0's and 1's, and there are never three consecutive 1's or 0's.



Figure 2 Example of a Juosan challenge, and its solution from Nikoli website.

⁶⁸ Juosan's Rules:

⁶⁹ A Juosan grid is divided into territories by bold lines, where a territory is possibly associated ⁷⁰ with a number. The goal is to fill in all cells with a vertical (|) or horizontal (---) dash such that the following three computing and estimated

⁷¹ that the following three constraints are satisfied.

Room Rule: The number in every territory equals the number of either vertical or
 horizontal dashes in it (in some cases, there may be equal numbers of both). Territories
 with no number may have any number of vertical dashes and horizontal dashes.

Adjacent (horizontal) Rule: Horizontal dashes can extend more than three cells
 horizontally but no more than two cells vertically.

Adjacent (vertical) Rule: Vertical dashes can extend more than three cells vertically
 but no more than two cells horizontally.

⁷⁹ In 2018, the problem of solving a Juosan grid was proven to be NP complete in [16].

80 Contributions:

⁸¹ We have the two main following contributions.

- ⁸² 1. We propose better ZKP protocols for Takuzu which improve upon the approach given
- in [5]. The latter used several instances of the protocol while ours use only one instance.
- We also improve the soundness of the proof in the sense that if the prover does not have a solution, he convinces the verifier with null probability.
- 2. We also propose an adapted version of this technique to Juosan. Again, only one instance
- of the protocol is run for proving to V that if P does not know the solution, then P

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- 88 convinces V with probability 0. We also propose an optimized version of the Adjacent
- ⁸⁹ Verification³ which aims to show validity of four consecutive commitments.

90 Related Work:

There are works on implementing cryptographic protocols using physical objects, as in [23] for example, or in [9] where a physical secure auction protocol was proposed. Other implementations have been studied using cards in [8], polarizing plates [30], polygon cards [32], a standard deck of playing cards [20], using a PEZ dispenser [1], using a dial lock [21], using a 15 puzzle [22], or using a tamper-evident seals [25, 26, 27].

In FUN'18, the authors of [29] revisited the ZKP for Sudoku proposed by Gradwohl et
al. in FUN'07 [13]. This is a clear progress in the construction of ZKP since the technique
proposed in this paper uses specific protocols to perform zero-knowledge proof for Sudoku.
Indeed, those protocols use a normal deck of playing cards and have no soundness error with
a reasonable number of playing cards. The original technique for Sudoku was extended for
Hanje [7]. ZKP's for several other puzzles have been studied such as Akari [5], Takuzu [5],
Kakuro [5, 19], KenKen [5], Makaro [6], Norinori [10], and Slitherlink [17].

There is a ZKP proof for Takuzu puzzle [5] (recall in Appendix 2), but we propose an en-103 hanced version using only one instance of the protocol to convince the verifier. The previous 104 proof is decomposed into several cases to avoid leak of information toward the solution. This 105 implies the need of rerunning the protocol several times for completely convincing V that 106 P has the solution. The construction of the protocol leads to have a negligible probability 107 that the prover P does not know the solution. Our proof is designed in such a way that only 108 one instance is run leading to a complete soundness of the proof (i.e., if P does not have 109 the solution, the probability of convincing V is null). We show that this technique can be 110 adapted to Juosan game which has not been studied before. The detailed security proof for 111 our ZKP protocols for Takuzu is given in Section 3.4 and for Juosan in Appendix 4.4. 112

Outline: In Section 2, we present an existing ZKP protocol for Takuzu. In Section 3, we improve the ZKP protocol for Takuzu. In Section 4, we present our ZKP protocol for Juosan. In the last section we conclude.

2 The Existing ZKP Protocol for Takuzu

We give a ZKP proof using physical objects. The goal is to show that the prover P (aka James Bond) can prove to the verifier V (aka Q) that he knows a solution of a given Takuzu grid. The material used for the proof include two printed grids on a sheet of paper, a piece of paper, an envelope and two kinds of cards: cards with a 0 or a 1 printed on them.

There are two phases in this protocol, the Setup which generates the permutations used for the second phase called the verification.

Let G be the $n \times n$ initial Takuzu grid and S the matrix relative to the solution known by P (including the initial cells).

Setup: The prover P chooses uniformly at random two permutations: π_R for the rows, and π_C for the columns. He writes the two permutations on a paper and place the latter into an envelope E. Then he computes $S' = \pi_R(\pi_C(S))$. Finally, P places cards face down on the second grid according to S'. We denote the configuration of these cards by the matrix \tilde{S}'

³ Due to space restriction, this version is presented in Appendix 4.3.

Verification: The verifier V picks c randomly among $\{0, 1, 2, 3\}$. 129 If c = 0: This case corresponds to P proving that the solution is the one of the initial grid. 130 V computes $G' = \pi_B(\pi_C(G))$ with the permutations found in the envelope E. Then V 131 determines the cells of G' corresponding to the initial cells of G. Finally, V checks if 132 the revealed cards are the same as the one revealed in the second grid (that are placed 133 according to \tilde{S}'). 134 If c = 1: This case corresponds to P proving that adjacent rule holds. 135 V permutes (face down) the cards of \tilde{S}' to obtain $\tilde{S} = \pi_c^{-1}(\pi_R^{-1}(\tilde{S}'))$ using the permuta-136 tions in E. Then, V picks d randomly among $\{0,1\}$ and e randomly among $\{1,2,3\}$. 137 If d = 0: For each row, V sets $x = \lfloor \frac{n-e}{3} \rfloor$ decks of three cards $\{(e+3 \cdot i+1, e+3 \cdot i+1)\}$ 138 $2, e+3 \cdot i+3$ and $\{0 \le i \le x\}$ where the triplet (i, j, k) denotes a deck containing the i^{th} , the 139 j^{th} and the k^{th} cards of the row. 140 If d = 1: For each column, V sets $x = \lfloor \frac{n-e}{3} \rfloor$ decks of three cards $\{(e+3 \cdot i + 1, e+3 \cdot i)\}$ 141 $(i+2, e+3 \cdot i+3)$ _{0 < i < x} where the triplet (i, j, k) denotes a deck containing the i^{th} , 142 the j^{th} and the k^{th} cards of the column. 143 Then, V gives the triplets to P. For each deck, P removes one of the two identical cards. 144 Then P reveals the cards to V, who accepts only if he sees two different cards. 145 If c = 2: This case corresponds to P proving that uniqueness rule holds. 146 For this, V picks randomly one row or one column. V reveals all the cards of his chosen 147 row (or column). For each of the n-1 other rows (or columns) the verifier picks the 148 cards where a 0 appears in the revealed rows (or column). At this step, V does not reveal 149 those cards. Each one of these n-1 sets of cards is shuffled by the shuffle functionality 150 and given back to the prover. P reveals one card per set that is a 1. Thus each one of 151 the other n-1 rows (or columns) are different from the revealed row, since the initial 152 row (or column) has a 0 where the other column (or row) has a 1. If there are several 153 1's in a deck, the prover randomly chooses which one to reveal. 154 If c = 3: This case corresponds to P proving that the equality rule holds. 155 The verifier V picks d randomly among $\{0, 1\}$. 156 If d = 0, for each row, V takes all the cards in the row and keep them face down. Then 157 V gathers the cards in order to shuffle those n decks. We assume that the verifier has 158 access to a *shuffle functionality* which is essentially an indistinguishable shuffle of face 159 down cards. Note that this action could be done by a trusted third party (M for instance) 160 but not by P or V (since they could cheat and modify the cards). 161 Finally, V checks that each deck contains exactly the same number of 1's and 0's. 162 If d = 1, the same process is done except that V picks columns instead of rows. 163 To have the best security guarantees, the verifier should choose his challenges c, d, etc. such164 165

that each combination of challenges at the end has the same probability. This protocol is repeated k times where k is a chosen security parameter. Note that the ZKP is again polynomial in the size of the grid.

3 Our improved ZKP Protocols for Takuzu

¹⁶⁹ In this section, we propose two ZKP protocols for Takuzu; our protocols are simple and have ¹⁷⁰ no soundness error. Remember that the goal is to show the prover P (aka James Bond) can ¹⁷¹ prove to the verifier V (aka Q) that P knows a solution of a given Takuzu grid.

Our protocols use black cards \clubsuit , red cards \heartsuit , and number cards $\boxed{1}2\cdots6$ whose backs ? are all identical. In the sequel, we use the following encoding rule:

174
$$\mathbf{A} \bigtriangledown = 0, \quad \bigtriangledown \mathbf{A} = 1.$$

(1)

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Table 1 The exact values of	tkz(n) when n is up to ten.
------------------------------------	------------------------------

n	tkz(n)
4	6
6	14
8	34
10	84

That is, black-to-red represents 0 and red-to-black represents 1. We call two face-down cards that correspond to a bit $x \in \{0, 1\}$ according to the above encoding rule (1) a commitment

177 to x, and we write it as ??. Roughly, our improved ZKP protocols for Takuzu proceed

178 as follows.

179 Setup phase: The prover P places a commitment to each cell according to the solution.

Verification phases: The verifier V verifies that the placement of the commitments satisfies
 all the constraints.

To present the complete description of our protocols in Section 3.2, we show some preliminaries in Section 3.1. In Section 3.3, we show that there is a trade-off between our two protocols and compare them.

185 3.1 Preliminaries

In this subsection, we introduce some notations and two subprotocols, which will be used
 to present our constructions in Section 3.2.

3.1.1 Possible Sequences

For an even number n, we denote by $\mathsf{tkz}(n)$ the set of all binary sequences satisfying the Uniqueness and Equality rules of Takuzu, that is, $\mathsf{tkz}(n) := \{w \in \{0,1\}^n \mid w \text{ contains exactly}$ n/2 0's and no three consecutive digits}. For example, $\mathsf{tkz}(4) = \{0011, 1100, 0101, 1010, 0110, 0110, 0101\}$. 1001}. The size of $\mathsf{tkz}(n)$ can be computed as Table 1. The size $|\mathsf{tkz}(n)|$ is known in the On-line Encyclopedia of Integer Sequences (OIES) as "the number of paths from (0,0) to (n, n) avoiding 3 or more consecutive east steps and 3 or more consecutive north steps.⁴" We can also show that $\mathsf{tkz}(n) = O((\frac{3+\sqrt{5}}{2})^n n^{-\frac{1}{2}})$.

¹⁹⁶ 3.1.2 Basic Shuffles

 p_2

Pile-scramble shuffle [15]: This is the following shuffling operation: Given a sequence of m piles, each of which consists of the same number of face-down cards, denoted by ? m ? m applying a *pile-scramble shuffle* (denoted by $[\cdot | \dots | \cdot])$ results in

 $[\underbrace{?}_{p_1} | \underbrace{?}_{p_2} | \cdots | \underbrace{?}_{p_m}] \rightarrow \underbrace{?}_{p_{r-1(1)}} \underbrace{?}_{p_{r-1(2)}} \cdots \underbrace{?}_{p_{r-1(m)}}, \text{ where } r \in S_m \text{ is a uniformly}$

distributed random permutation and S_m denotes the symmetric group of degree m. To implement a pile-scramble shuffle, we use physical cases that can store a pile of cards, such

 p_m

⁴ https://oeis.org/A177790

as boxes and envelopes; a player (or players) randomly shuffle them until nobody traces the
 order of the piles.

Pile-shifting shuffle: A *pile-shifting shuffle* (or a pile-shifting scramble [28]) is to *cyclically* shuffle piles of cards. That is, given *m* piles, applying a pile-shifting shuffle (denoted by $\langle \cdot | \dots | \cdot \rangle$) results in $\langle \underbrace{?}_{p_1} | \underbrace{?}_{p_2} | \dots | \underbrace{?}_{p_m} \rangle \rightarrow \underbrace{?}_{p_{s+1}} \underbrace{?}_{p_{s+2}} \dots \underbrace{?}_{p_{s+m}}$, where *s* is uniformly and randomly choose $f_{p_1} = f_{p_2} - f_{p_2} - f_{p_3} - f_{p_3} = f_{p_3} - f_{p_3}$

and randomly chosen from $\mathbb{Z}/m\mathbb{Z}$. To implement a pile-shifting shuffle, we use similar materials as a pile-scramble shuffle; a player (or players) cyclically shuffle them by hand until nobody traces the offset.

211 3.1.3 Mizuki–Sone AND (OR) Protocol

Given two commitments to $a, b \in \{0, 1\}$ (along with additional two cards $\textcircled{l} \heartsuit$), the Mizuki– Sone AND protocol [24] outputs a commitment to $a \land b$: $\fbox{??}$ l l l \bigtriangledown \rightarrow \cdots \rightarrow $\fbox{??}$

Note that the output commitment can be used for another protocol. The protocol proceedsas follows.

1. Rearrange the sequence as follows: $\begin{array}{c}1 & 2 & 3 & 4 & 5 & 6\\\hline ? & ? & ? & ? & ? & ?\\\hline \end{array} \rightarrow \begin{array}{c}1 & 3 & 4 & 2 & 5 & 6\\\hline ? & ? & ? & ? & ?\\\hline \end{array} \rightarrow \begin{array}{c}2 & 3 & 4 & 5 & 6\\\hline ? & ? & ? & ? & ?\\\hline \end{array} \rightarrow \begin{array}{c}2 & 3 & 4 & 2 & 5 & 6\\\hline ? & ? & ? & ? & ?\\\hline \end{array}$

217 2. Apply a random bisection cut: [???] ? ???????????????. A random bisection cut is a special case of a pile-scramble shuffle; it bisects a sequence of cards and then shuffles the two halves.

3. Reveal the first and fourth cards in the sequence. Then, the output commitment can be obtained as follows: (a,b) = (a,b)

Note that by De Morgan's laws we can have the Mizuki–Sone OR protocol that produces a commitment to $a \lor b$ given two commitments to a and b.

224 3.1.4 Mizuki–Sone XOR protocol

Given two commitments to $a, b \in \{0, 1\}$, the Mizuki–Sone XOR protocol [24] outputs a commitment to $a \oplus b$: ??? ?? $\rightarrow \cdots \rightarrow ???$. The protocol proceeds as follows. 1. Rearrange the sequence as follows: ????? $\rightarrow ????$. 2. Apply a random bisection cut to the sequence: [??]??? $\rightarrow ????$. 3. Rearrange the sequence as follows: ?????? $\rightarrow ?????$.

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3.1.5 Six-Card Trick 232

Given three commitments to $a, b, c \in \{0, 1\}$, the six-card trick [31]⁵ outputs 1 if a = b = c233 and 0 otherwise: $?????? ?? \rightarrow \cdots \rightarrow \begin{cases} 1 & \text{if } a = b = c, \\ 0 & \text{otherwise.} \end{cases}$ 234 That is, we can know only whether the values of given three commitments are the same 235 or not by using the six-card trick. We use it in our construction to verify the Adjacent rule. 236 The protocol proceeds as follows. 237 238 2. Apply a random cut (which is denoted by $\langle \cdots \rangle$) to the sequence: $\langle ????????????\rangle \rightarrow$ 239 ? ? ? ? ? . A random cut is a special case of a pile-shifting shuffle; it cyclically 240 shuffles a sequence of cards. Note that a random cut can be implemented easily with 241 human hands [33]. 242 3. Reveal the sequence. 243 a. If the resulting sequence is \bigcirc \bigcirc \bigcirc \bigcirc \bigcirc \bigcirc \bigcirc (apart from cyclic shifts), the output is 244 1, i.e., a = b = c holds. 245 **b.** If the resulting sequence is $\clubsuit \clubsuit \heartsuit \heartsuit \heartsuit$ (apart from cyclic shifts), the output is 246 0, i.e., a = b = c does not hold. 247

Input-Preserving Function Evaluation Technique 3.1.6 248

As seen in Section 3.1.5, we can know whether the equality of three input commitments holds 249 although the input commitments are destroyed after executing the six-card trick. The *input*-250 preserving function evaluation technique enables us to obtain input commitments again after 251 some function evaluation (such as the equality) by using some number cards. 252

Let us first explain the *input-preserving six-card trick* as follows. 253

1. Place a number card below each card, and then turn them over: 254

 ?
 ?
 ?
 ?
 ?
 ?

 1
 2
 3
 4
 5
 6

 ? ? ? ? ? ? ? ? ?

2. Rearrange the sequences as follow: ???? ? ? ? ? 256 ? ? ? ? ? ?

3. Apply a pile-shifting shuffle to the sequences: 257

258

/?/?	? ?	?	?	\ \	?	?	?	?	?	?
\setminus ? ?	? ?	?	? /	\rightarrow	?	?	?	?	?	?

4. Reveal the cards of all sequences except for the number cards; then, we obtain the output 259 as shown in Step 3 in Section 3.1.5. 260

5. Turn over the face-up cards and apply a pile-scramble shuffle to the sequences: 261



The protocol had been invented independently by Heather, Schneider, and Teague [14].

6. Reveal the number cards and rearrange the sequence of piles so that the revealed number cards become in ascending order; then, we have restored input commitments to a, b, and *c*. The following is an example case:

266

More formally, assume that we have a protocol to evaluate some function with m input piles of cards. Then, the input-preserving function evaluation technique enables us to obtain m input piles again after some function evaluation by using m number cards:

 $\frac{???????}{?????} \rightarrow \frac{??????}{315462} \rightarrow \frac{??????}{a \ b \ c}.$

²⁷¹ This proceeds as follows.

 $_{272}$ 1. Attach a corresponding number card to each of *m* input piles:

27

277

²⁷⁴ Together with the added number cards, execute a designated protocol to evaluate some ²⁷⁵ function.

276 2. Apply a pile-scramble shuffle to the sequence of piles:

3. Reveal only the number cards. Then, rearrange the sequence of piles so that the revealed number cards become in ascending order to obtain *m* input piles.

280 3.2 Our Constructions

We are now ready to present the full description of our ZKP protocols for Takuzu, namely
 Protocols 1 and 2.

283 3.2.1 Protocol 1: Verifying Each Constraint Separately

Given a Takuzu puzzle instance of $n \times n$ grid, *Protocol 1* verifies that all the constraints, namely the Equality, Uniqueness, and Adjacent rules, are satisfied separately.

²⁸⁶ Setup phase: Remember the encoding rule (1). The prover P places a commitment on ²⁸⁷ each cell according to the solution (which is kind of a (0,1)-matrix).

Adjacent Verification phase: In this phase, V verifies that the Adjacent rule is satisfied. For this, V repeats the following for every three consecutive commitments in rows and columns.

²⁹¹ 1. Attach the corresponding number card to each of the six cards:

292

294



- ²⁹³ **2.** Perform the input-preserving six-card trick shown in Section 3.1.6 to prove that the three
 - commitments are not all 0s and 1s. If the six-card trick outputs 1, V rejects it.

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Uniqueness Verification phase: In this phase, V verifies that the Uniqueness rule is satis-295 fied. V repeats the following for every pair of rows (and columns), each of which consists 296 of n commitments. Considering such a pair, let $a_1, a_2, \ldots, a_n \in \{0, 1\}$ denote the values of 297 commitments placed on the first row (in the pair) and $b_1, b_2, \ldots, b_n \in \{0, 1\}$ denote those of 298 commitments on the second row. 299

- 1. V attaches the corresponding number card to each of the 4n cards. 300
- 2. V applies the "input-preserving" Mizuki–Sone XOR protocol obtained by Sections 3.1.4 301 and 3.1.6 to the commitments to a_i and b_i to produce a commitment to $a_i \oplus b_i$ for every 302 $i, 1 \leq i \leq n$. Note that V will return the 4n cards to their original positions after the 303 next step. 304
- 3. V uses the "input-preserving" Mizuki-Sone OR protocol obtained by Sections 3.1.3 305 and 3.1.6⁶ exactly n-1 times to reveal the value of $\bigvee_{j=1}^{n} (a_j \oplus b_j)$. If it is 0, it means 306 307
 - $a_i = b_i$ for every *i*, and hence, *V* rejects it.
- **Equality Verification phase:** In this phase, V verifies that the Equality rule is satisfied. 308
- 1. For every row, V repeats the following. 309
- **a.** V attaches the corresponding number card to each of the 2n cards. 310
- **b.** V applies a pile scramble shuffle. 311
- **c.** V reveals the resulting n commitments. If the number of commitments to 0 is not 312 equal to that of commitments to 1, V rejects it. 313
- d. Similar to the input-preserving function evaluation technique shown in Section 3.1.6, 314 V returns the n commitments to their original positions. 315
- 2. For every column, V follows the same steps except for Steps (a) and (d). Since the n316 commitments will not be used after this phase, V does not need to return them to their 317 original positions. 318

This protocol uses n^2 black cards, the same number of red cards, and 4n number cards 319 (recall that we have an $n \times n$ Takuzu grid). The numbers of required shuffles are 4n(n-2)320 in the Adjacent Verification phase, $2n^2(n-1)$ in the Uniqueness Verification phase, and 3n321 in the Equality Verification phase. 322

Protocol 2: Verifying All the Constraints Simultaneously 3.2.2 323

Protocol 2 verifies that all the constraints are satisfied simultaneously using helping cards 324 that will be placed in the Setup phase. When displaying a figure, we are given a 4×4 325 Takuzu grid as an example. 326

Setup phase: The prover *P* places a commitment to each cell according to the solution. 327 In addition, to show that all the constraints are satisfied, P arranges face-down sequences 328 corresponding to all the sequences in tkz(n) except for those in the solution (for both row 329

For the two additional cards, we can make use of any two revealed cards appearing in the previous step without opening the number cards.

and column):



where a black card \clubsuit corresponds to 0 and a red card \heartsuit corresponds to 1 in any helping sequence for the row, and \heartsuit corresponds to 0 and \clubsuit corresponds to 1 in any helping sequence for the column. As shown in Table 1, the number of such helping sequences is two in each direction in this case of 4×4 grid.

Verification phase: In this phase, V verifies all the constraints, namely the Equality, Uniqueness, and Adjacent rules by revealing the commitments along with the helping sequences after applying a pile-scramble shuffle. Note that V can also verify that the commitments placed by P in the Setup phase form the valid ones according to the encoding rule (1) (e.g., not [], [], [], []]).

1. For all the rows, take the left card of each commitment to make n sequences (along with the helping sequences for the rows).

343



³⁴⁴ 2. Apply a pile-scramble shuffle to the sequence of piles.

345 **3.** Reveal the cards of all sequences. If there are either (i) a sequence whose number of 346 black cards is not the same as that of red cards, (ii) two identical sequences, or (iii) a 347 sequence containing more than two consecutive 0s or 1s, then V rejects it.

4. For all the columns, take the right card of each commitment to make n sequences (along with the helping sequences for the columns).



³⁵¹ Then, the same is done.

This protocol uses $n \cdot |\mathsf{tkz}(n)|$ black cards and the same number of red cards when we have an $n \times n$ Takuzu grid. See Table 1 again for the value of $|\mathsf{tkz}(n)|$. The number of required shuffles is two.

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355 3.3 Comparison

Let us compare the two protocols for Takuzu presented in the previous subsection. Table 2 summarizes the numbers of required cards and shuffles for the protocols.

Table 2 The numbers of required cards and shuffles for Protocols 1 and 2 when we have an $n \times n$ Takuzu grid such that n is up to eight.

	#Cards				#Shuffles				
	n = 4	n = 6	n = 8		n = 4	n = 6	n = 8		
Protocol 1	48	96	160		140	474	1112		
Protocol 2	48	168	544		2	2	2		

According to this table, there is a trade-off between the numbers of required cards and shuffles, i.e., Protocol 1 presented in Section 3.2.1 needs a less number of cards but needs a more number of shuffles than Protocol 2 presented in Section 3.2.2. Both protocols are reasonable, and hence, P and V may choose their favorite one. Let us stress that pencil puzzles are usually played on a board of small size, say n = 8, and also that players enjoying a puzzle normally do not use computers to solve it.

364 3.4 Security Proofs for Takuzu

We prove the security of our construction. We consider a *shuffle functionality* which is an indistinguishable shuffle of face down cards. The first part is dedicated to give proofs of protocol 1 while the second part is dedicated to prove the security for protocol 2.

368 3.4.1 Security Proofs of Protocol 1

369 Takuzu Completeness.

We show that if P knows a solution of a given Takuzu grid then he is able to convince V.

Proof. Suppose that P knows a solution S of the initial grid G and runs the input phase described in subsection 3.2.1. Then we show that P is able to perform the proof for the three phases: (AV) adjacent verification phase, (UV) uniqueness and verification phase, and equality verification phase (EV).

Since S is a solution of G, S is a valid grid respecting all the constraints. If S respects the adjacent rule so the six-card trick outputs 0 in all cases. Indeed, if the number are all equals then the rearranging step (step 1 of the six-card trick) has the same output than the input. For example, consider the sequence 101 which is rearrange as:

$$\overset{1}{\bigtriangledown}\overset{2}{\clubsuit}\overset{3}{\clubsuit}\overset{4}{\bigtriangledown}\overset{5}{\bigtriangledown}\overset{6}{\diamondsuit}\rightarrow\overset{1}{\diamondsuit}\overset{6}{\clubsuit}\overset{3}{\clubsuit}\overset{2}{\diamondsuit}\overset{5}{\bigtriangledown}\overset{4}{\bigtriangledown}$$

375

The random cut will keep the pattern, up to a cyclic shift. The same result holds for other possible sequences (there are 6 of them).

 $_{378}$ We conclude that S succeeds the AV challenge.

We show that S passes the UV challenge. The verification is done toward each possible pair of row (and column) of the grid. Consider two rows where a_i denote the values of commitments on the first row and b_i the values for the second row. Since S is a solution

those two rows are different, meaning that there exists at least a value j for which $a_j \neq b_j$. This implies that $a_j = b_j \oplus 1$ (recall that $\forall i = 1 \dots n$ we have $a_i, b_i \in \{0, 1\}$) meaning that $a_j \oplus b_j = 1$. Thus the disjunction of all the possible $a_i \oplus b_i$ will output 1 (since at least on of its term is equal to 1). Repeating this process for each possible pair of rows and columns leads to always output 1 in step 3 of the UV.

Lastly, we show that S succeeds the EV challenge. Since it is a solution there is the same number of 0 and 1 in each row and column. When shuffling the cards, only the their order is modified but not their value thus the equality property still holds.

We conclude that P convinces V for AV, UV and EV phases.

◀

³⁹¹ Takuzu Soundness.

We show that if P does not provide a solution of a given Takuzu grid then he is not able to convince V with probability 1.

³⁹⁴ **Proof.** Suppose that P does not know the solution, we want to show that V will detect it ³⁹⁵ during, at least, one verification phase.

First, notice that if P places a commitment that respects all the Takuzu rules then it is a solution. Thus if at least one rule is not respected then it is not a solution. Hence, we consider three possible cases corresponding to each rule that is not respected:

• If the adjacent rule is not respected, then there exists three consecutive commitments that have the same value (either 0 or 1). Without loss of generality, let consider that those values are all 0's. Thus the the rearrange step is:

$$\overset{1}{\clubsuit}\overset{2}{\heartsuit}\overset{3}{\clubsuit}\overset{4}{\heartsuit}\overset{5}{\clubsuit}\overset{6}{\heartsuit}\rightarrow\overset{1}{\clubsuit}\overset{6}{\diamondsuit}\overset{3}{\diamondsuit}\overset{2}{\clubsuit}\overset{5}{\heartsuit}$$

399

Thus a random cut will keep this alternating pattern. (Note that the same result holds with all 1 but black cards are replaced by red cards and vice-versa.) Hence, the six-card trick outputs 1 so V rejects P's commitments.

If the uniqueness rule is not respected, then at least two rows or two columns are identical. Thus, for all i = 1...n, we have $a_i = b_i \implies a_j \oplus b_j = 0$. This implies that the disjunction of all those terms is equal to 0 so V rejects it.

If the equality rule is not respected, then there exists a row or column where the number of 0 is not equal to the number of 1. W.l.o.g., consider a row with $\frac{n}{2} + 1$ 0-commitment and $\frac{n}{2} - 1$ 1-commitment. When applying a pile scramble shuffle the 0-commitment remains 0-commitment, and 1-commitment still remains 1-commitment so V will notice that there is $\frac{n}{2} + 1$ 0-commitment and $\frac{n}{2} - 1$ 1-commitment. Finally, V won't be convinced.

⁴¹² Zero-knowledge.

 $_{413}$ We show that during the verification process, V learns nothing about P's solution.

Proof. The idea of the proof is described in [13]. Proving zero-knowledge implies to describe an efficient simulator which is an algorithm that simulates any interaction between a cheating verifier and a real prover. The simulator has no access to the correct solution but it has an advantage over the prover: when the cards are shuffled, the simulator can swap the decks with different ones. We thus show how to construct a simulator for each challenge:

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Adjacent Verification challenge: The simulator chooses randomly S such that three con-419 secutive cells never contain the same number. Note that the uniqueness and equality 420 rule may not hold. Then it simulates the interaction between the prover and the verifier. 421 For each three vertically (or horizontally) consecutive commitments, the six-card trick 422 outputs 0 (there are exactly two identical number). 423 Uniqueness Verification challenge: When the verifier checks for pair of rows or columns, 424 the simulator picks cards to form distinct rows or columns (for example, during the 425 Mizuki-Sone XOR shuffle phase). 426

⁴²⁷ Equality Verification challenge: During the pile scramble shuffle, the simulator places $\frac{n}{2}$ ⁴²⁸ 0-commitment and $\frac{n}{2}$ 1-commitment in a random order.

429

430 We conclude that our protocol for Takuzu is complete, soundness and zero-knowledge.

3.4.2 Security Proofs of Protocol 2

432 Completeness.

433 We show that if P knows a solution of a given Takuzu grid then he is able to convince V.

⁴³⁴ **Proof.** Suppose that P knows a solution S of the initial grid G and runs the input phase ⁴³⁵ described in subsection 3.2.2. Then we show that P is able to perform the proof for the ⁴³⁶ verification phase.

Since S is a solution of G, S is a valid grid respecting all the constraints. Indeed S respects 437 the adjacent rule so each three consecutive commitments cannot be all the same. Thus the 438 left cards of each commitment cannot be the same (recall our encoding 1). The other rules 439 can be verified using the same process since each left card (or right) fully determine the 440 value of a commitment. Indeed, if the left card is $|\clubsuit|$ the the commitment corresponds to the 441 value 0 and if the left card is \bigcirc then it corresponds to a 1-commitment. We conclude, that 442 if P's commitment corresponds to the solution of G then all the constraints can be verified 443 by V when revealing the commitments. 444

445 Soundness.

We show that if P does not provide a solution of a given Takuzu grid then he is not able to convince V with probability 1.

⁴⁴⁸ **Proof.** Suppose that P does not know the solution, we want to show that V will detect it ⁴⁴⁹ during the verification phase.

First, notice that if P places a commitment that respects all the Takuzu rules then it is a solution. Thus if at least one rule is not respected then it is not a solution. Hence, we consider three possible cases corresponding to each rule that is not respected:

⁴⁵³ If the adjacent rule is not respected, then there exists three consecutive commitments that have the same value (either 0 or 1). Since the order of the cards is kept (only the pile are shuffled), V can detect when three consecutive cards are identical.

⁴⁵⁶ If the uniqueness rule is not respected, then at least two rows or two columns are identical. ⁴⁵⁷ Again, V will detect it since all the left (right) cards are revealed and that left (right) ⁴⁵⁸ cards fully determine a commitment value.

If the equality rule is not respected, then there exists a row or column where the number 459 of 0 is not equal to the number of 1. As seen in the previous case, V won't be convinced 460 since the number of 0 does not correspond to the number 1. 461 462

Zero-knowledge. 463

We show that during the verification process, V learns nothing about P's solution. 464

Proof. The idea is the same as for protocol 1. We show how to construct a simulator for the 465 challenge. During the pile-scramble phase, the simulator replaces each pile with a sequence 466 of tkz(n). Thus the set of those sequence verifies the rules. 467

We conclude that our protocol for Takuzu is complete, soundness and zero-knowledge. 468

Our ZKP Protocol for Juosan 4 469

In this section, applying the ideas shown in Section 3, we construct a ZKP protocol for 470 Juosan, which allows the prover P (aka Q) to convince the verifier V (aka James Bond) 471 that he really knows a solution. 472

Subprotocol: Five-Card Trick 4.1 473

We introduce the *five-card trick* [8] in this subsection, which is used in our construction to 474 verify Rules 2 and 3. 475

Given two commitments to $a, b \in \{0, 1\}$ (along with a red card $[\heartsuit]$), the five-card trick [8] 476 outputs $a \wedge b$: ? ? $|\heartsuit| \to \cdots \to a \land b$. The protocol proceeds as follows. 477

- \rightarrow ? ? ? ? ? 478
- 2. Apply a random cut to the sequence: $\langle |?||?||?||?||?||?||\rangle$? ? ? ? ? . 479

3. Reveal the sequence. If the resulting sequence is: 480

A \heartsuit \heartsuit \heartsuit \heartsuit \bigtriangledown (apart from cyclic shifts), the output is $a \land b = 1$. a. 481

 \heartsuit * $\heartsuit \clubsuit \heartsuit$ (apart from cyclic shifts), the output is $a \wedge b = 0$. b. 482

4.2 **Our Construction** 483

We are now ready to present the full description of our ZKP protocol for Juosan. Let us 484 consider that we are given a 5×5 Juosan grid as an example. 485

Our construction consists of three phases, the Setup phase, Adjacent Verification phase, 486 and Room Verification phase. 487

Setup phase: Regarding a vertical dash (|) as 0 and a horizontal dash (---) as 1, the prover 488 P places a commitment to each cell according to the solution: 489

?	?	??	??	??	??
?	?	??	??	??	??
?	?	??	??	??	??.
?	?	??	??	??	??
?	?	??	??	??	??

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⁴⁹¹ **Adjacent Verification phase:** In this phase, V repeats applications of the Mizuki–Sone ⁴⁹² AND protocol [24] and five-card trick [8] enhanced by the input-preserving function eval-⁴⁹³ uation technique to verify that the Adjacent condition is satisfied. Note that V can also ⁴⁹⁴ verify that the commitments placed by P in the Setup phase form the valid ones according ⁴⁹⁵ to the encoding rule (1).

Let us verify that there are no three consecutive horizontal dashes in any column. The fact that three horizontal dashes are not consecutive to the vertical means that there is at least one vertical dash among them. Therefore, it suffices to confirm the AND value of of the corresponding three commitments is false because a vertical dash is encoded as

- $_{500}$ 0 and a horizontal dash as 1.
- Let $a, b, c \in \{0, 1\}$ be the values of commitments on three consecutive cells in a column.
- First, for commitments to a and b, perform the Mizuki–Sone AND protocol described in Section 3.1.3. Then, a commitment to $a \wedge b$ is obtained.
- ⁵⁰⁴ **2.** Perform the five-card trick described in Section 4.1 for the commitments to $a \wedge b$ and c. ⁵⁰⁵ If the five-card trick outputs 1, V rejects it.
- ⁵⁰⁶ **3.** Restore commitments to a, b, and c by the input-preserving function evaluation technique described in Section 3.1.6.
- ⁵⁰⁸ 4. The same is done for rows. In this case, let the encoding be reversed.

Room Verification phase: In this phase, V verifies the Room rule by revealing the commitments after applying pile-scramble shuffles.

⁵¹¹ 1. Apply a pile-scramble shuffle to all commitments in a territory with a number:



Take all the left cards and all the right cards of these commitments to make two piles.
 Then, apply a pile-scramble shuffle to the two piles:

3. Reveal all the cards of the piles. If the number of black cards or red cards is not the same as the number written on the territory, V rejects it. For example, in the case of a 3-cell territory with a number "3," each of the following two types of card groups should appear with a probability of 1/2:
appe

521 4. The same is done for all other numbered territories.

The numbers of required shuffles are 3(m(n-2) + n(m-2)) in the Adjacent Verification phase and k in the Room Verification phase when we have an $m \times n$ Juosan grid and k territories. This protocol uses mn + 1 black cards, the same number of red cards, and eight number cards.

526 4.3 Optimized Adjacent Verification for Juosan

In the original Adjacent Verification phase of our protocol for Juosan presented in Section 4.2, the AND value $a \wedge b \wedge c$ for $a, b, c \in \{0, 1\}$ is securely computed to show the validity of three consecutive commitments. We present an optimization technique to show the validity of four consecutive commitments as follows.

1. Let $a, b, c, d \in \{0, 1\}$ be commitments of four consecutive cells in a column. First, for commitments to b and c, perform the Mizuki–Sone AND protocol described in Section 3.1.3. Then, a commitment to $b \wedge c$ is obtained.

⁵³⁴ 2. Let $x_1 = b \wedge c$, $x_2 = a$, and $c_3 = d$. By slightly modifying the Mizuki–Sone AND protocol, ⁵³⁵ the following protocol is obtained:

$$\underbrace{??}_{x_1} \underbrace{??}_{x_2} \clubsuit \bigtriangledown \underbrace{??}_{x_3} \clubsuit \oslash \to \cdots \to \underbrace{??}_{x_1 \land x_2} \underbrace{??}_{x_1 \land x_3}$$

Note that this uses one random bisection cut only. Then, two commitments of $x_1 \wedge x_2 = a \wedge b \wedge c$ and $x_1 \wedge x_3 = b \wedge c \wedge d$ are obtained.

3. Open the commitments of $a \wedge b \wedge c$ and $b \wedge c \wedge d$. If they are not (0,0), V rejects it.

⁵⁴⁰ **4.** Obtain the commitments to a, b, c, and d by the input-preserving function evaluation ⁵⁴¹ technique described in Section 3.1.6.

542 4.4 Security Proofs for Juosan

⁵⁴³ We prove the security of our construction. We consider a *shuffle functionality* which is an ⁵⁴⁴ indistinguishable shuffle of face down cards.

545 Juosan Completeness.

536

546 We show that if P knows a solution of a given Takuzu grid then it is able to convince V.

⁵⁴⁷ **Proof.** Suppose that P knows a solution S of the initial grid G and runs the setup phase ⁵⁴⁸ described in Section 4. Then we show that P is able to perform the proof for the two phases: ⁵⁴⁹ adjacent verification phase (AV) and room verification phase (RV).

Since S is a solution of the grid G, we show that S is a valid grid respecting all the constraints.

We first consider the adjacent verification. Let us take an example, the other cases (here 8 possible cases) are done the same way. We consider the case of horizontal dashes in a column for verifying the adjacent (horizontal) rule. We need to show that the AND value of these commitments is not equal to 1. Note that if we inverse the encoding rule (\heartsuit) = 0 and \bigcirc = 1) we can verify that no three consecutive vertical dashes are placed in a given row.

558 We consider the 101-commitment: $|\heartsuit| \clubsuit |\diamondsuit| \heartsuit |\heartsuit| \clubsuit$

First we take the first four cards and apply the Mizuki-Sone AND protocol:

$$\begin{array}{c} 1 & 2 & 3 & 4 & 5 & 6 \\ \hline \bigcirc & \clubsuit & \bigtriangledown & \bigcirc & \clubsuit & & \bigcirc & \clubsuit & & \bigcirc & \clubsuit & \bigcirc & \clubsuit & \bigcirc & \clubsuit & & & \\ \end{array}$$

⁵⁵⁹ Then the random cut will output two possible combinations:

$$\overset{1}{\bigtriangledown}\overset{3}{\clubsuit}\overset{4}{\bigtriangledown}\overset{2}{\clubsuit}\overset{5}{\textcircled{\bullet}}\overset{6}{\textcircled{\bullet}} \text{ or }\overset{2}{\clubsuit}\overset{5}{\textcircled{\bullet}}\overset{6}{\textcircled{\bullet}}\overset{3}{\bigtriangledown}\overset{4}{\textcircled{\bullet}}\overset{\circ}{\bigtriangledown}\overset{\circ}{\textcircled{\bullet}}\overset{\circ}{\bigtriangledown}\overset{\circ}{\textcircled{\bullet}}\overset{\circ}{\bigtriangledown}\overset{\circ}{\textcircled{\bullet}}\overset{\circ}{\bigtriangledown}\overset{\circ}{\textcircled{\bullet}}\overset{\circ}{\bigtriangledown}\overset{\circ}{\textcircled{\bullet}}\overset{\circ}{\bigtriangledown}\overset{\circ}{\textcircled{\bullet}}\overset{\circ}{\textcircled{\bullet}}\overset{\circ}{\textcircled{\bullet}}\overset{\circ}{\r{\bullet}}\overset$$

Both cases has output $|\clubsuit| \heartsuit$ which is simply 0.

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Note that if we replace the second commitment by 1 (which is encoded as 0) then after the random cut we have the two possible outputs: 0 $\rule{0}$ $\rule{0}{0}$ $\rule{$

Next, we compute the five-card trick for input $\mathbf{A} \heartsuit \heartsuit \mathbf{A} \heartsuit$.

The rearrange step outputs \bigcirc \clubsuit \bigcirc \bigcirc \bigcirc \bigcirc which is the same pattern of alternating figure meaning that $a \wedge b = 0$. Note that a random cut will not modify the shape of the pattern. The same process is applied to all other commitments so we can conclude that S respects the adjacent verification for horizontal and vertical dashes. Hence S succeeds the AV challenge.

Note that we can verify the adjacent rule by looking at three consecutives cells and the next three consecutives cells (that is cells a, b, c and then cells b, c, d) or directly apply the optimized adjacent verification in Appendix 4.3.

⁵⁷³ S also succeeds the room verification. Indeed, we make two piles corresponding to left ⁵⁷⁴ cards of each commitment and right cards of each commitment. Thus each vertical dash ⁵⁷⁵ (encoded as $\textcircled{\bullet} \bigcirc$) adds a card $\textcircled{\bullet}$ in a pile and a card \bigcirc in the other pile. Hence, a pile ⁵⁷⁶ represents the number of vertical dashes while the other represents the number of horizontal ⁵⁷⁷ dashes (but those two piles are indistinguishable). It remains to count the number of cards ⁵⁷⁸ that forms the majority to deduce if the room rule is achieved. Finally S is a correct solution ⁵⁷⁹ for RV challenge.

We conclude that P convinces V for AV phase and for RV phase.

◀

⁵⁸¹ Juosan Soundness.

We show that if P does not provide a solution of a given Juosan grid then it is not able to convince V.

⁵⁸⁴ **Proof.** Suppose that P is able to convince V meaning that P can provide S which succeeds ⁵⁸⁵ AV challenge and RV challenge. We want to show that P knows a solution to Juosan grid ⁵⁸⁶ G.

 $_{587}$ During the input phase, P places a commitment.

Since *P* is able to perform the proof of AV challenge and RV challenge we have: initial cells are the same as in S, horizontal bars are not arranged three times in a column, vertical bars are not arranged three times in a row, and a room has correct numbers of vertical or horizontal bars corresponding to its number.

We deduce that S is a solution of G (since each rule is respected). Hence if P does not provide a solution of G then it fails the proof for at least one challenge. Since those two phases are perform during the proof, P receives two challenges (AV and RV) out of two possibilities.

Hence, if *P* gives a wrong grid then at least one of those two challenges will fail.

⁵⁹⁷ Thus P cannot convince V with a wrong proposition.

<

⁵⁹⁸ Juosan Zero-knowledge.

⁵⁹⁹ We show that during the verification process, V learns nothing about P's solution.

Proof. We follow the same process as for the zero-knowledge of Takuzu protocol. We thus
 show how to construct a simulator for each challenge:

Adjacent Verification challenge: The simulator chooses randomly *S*. Before the final output of the five-card trick, the simulator always chooses a deck for which red and black cards are alternated. Thus the output is always 0 meaning that the Adjacent Verification challenge succeed. Since S was chosen randomly then simulated proofs and real proofs are indistinguishable.

Room Verification challenge: When the verifier checks for vertical direction, the simulator
looks at the room number to form the corresponding number with red cards (or black
ones) for each piles. This step is done the same way for all rooms. Since each row
(or column) are different from one to another, the simulated proofs and real proofs are
indistinguishable.

612

◀

⁶¹³ We conclude that our protocol for Juosan is complete, soundness and zero-knowledge.

614 **5** Conclusion

In this paper we improved the existing interactive zero-knowledge proof for Takuzu. Our protocols use a reasonable number of cards and shuffles, implying that they are easy to implement by humans. Our protocols are designed in such a way that the proof is completely sound meaning that a prover P convinces the verifier V with probability 1 if P has a solution. We also proposed an adapted version of this protocol for the Juosan puzzle which had never been proposed before. An interesting puzzle, called *Suguru*, can also be studied with this technique.

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